

Filtration of nanoparticles: evolution of cake-structure and pressure-drop

Tobias D. Elmøe, Antonio Tricoli, Jan-Dierk
Grunwaldt¹, Sotiris E. Pratsinis

Particle Technology Laboratory, Department of Mechanical and Process Engineering, ETH Zürich
1) Department of Chemical and Biochemical Engineering, Technical University of Denmark



Nanoparticle filtration

- Removal of wanted or unwanted particles
 - E.g. Flame-synthesized catalytic nanoparticles or diesel soot from engines
- Pressure-drop build-up is major parameter
 - Too high ΔP may halt or damage the engine [1]
 - Affects fuel economy
 - Highly related to structure of filter deposit (cake)

Setten et al., (2001), Catalysis Reviews, 43(4), 489-564

Cake formation

Filtration of nanoparticles

Highly porous cake : > 95 % [1,2]

Low penetration into substrate even for particles much smaller than the capillary

Analogous to thermophoretic deposition onto non-porous substrates

Structure determined by Pe [3,4]

Ballistic limit (85% porosity / 15 % **solid volume fraction**, ϕ_{sd}) reached above $Pe > 10$

At $Pe < 10$, $\phi_{sd} = f(Pe)$

$$Pe = \frac{d_p \cdot U}{2D}$$

d_p : Particle diameter
 U : Approach velocity
 D : Particle diffusion coefficient

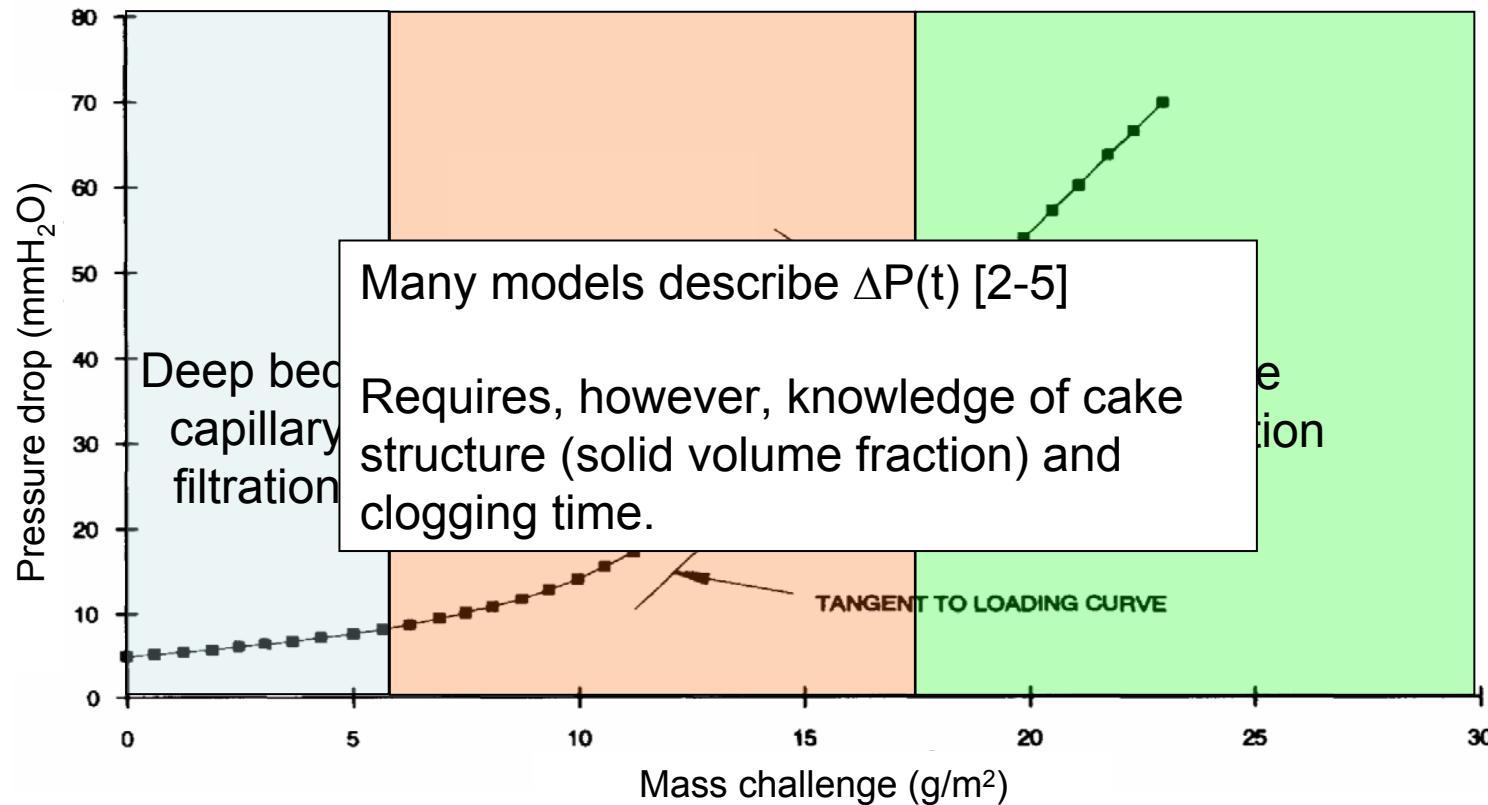
[1] Andersen, S. K., Johannessen, T., Mosleh, M., Wedel, S., Tranto, J. and Livbjerg, H., (2002), *J. Nanopart. Res.*, 4(5), 405-416

[2] Elmøe, T.D., (2008), PhD Thesis, Department of Chemical Engineering, Technical University of Denmark, Kgs. Lyngby

[3] Mädler, L., Lall, A. A. And Friedlander, S. K., (2006), *Nanotechnology*, 17(19), 4783-4795

[4] Rodríguez-Pérez, D., Castillo, J. L, and Antoranz, J. C., *Phys. Rev. E.*, 72(2), 021403-1 - 021403-9

Filtration regimes



- [1] Japuntich, D. A., Stenhouse, J. I. T., and Liu, B. Y. H., (1994), *J. Aerosol Sci.*, 25(2), 385-393
- [2] Spurny, K.R., Lodge, J.P., Frank, E.R. And Sheesley, D.C., (1969), *Env. Sci. Technol.*, 3(5), 453-464
- [3] Davies, C.N., (1970), *Aerosol Sci.*, 1, 35-39
- [4] Kirsch, V. A., (1998), *Kolloidn. Zh.*, 60(4), 480-484.
- [5] Hinds, W. C. and Kadrichu, N. P., (1997), *Aerosol Sci. Technol.*, 27(2), 162-173.

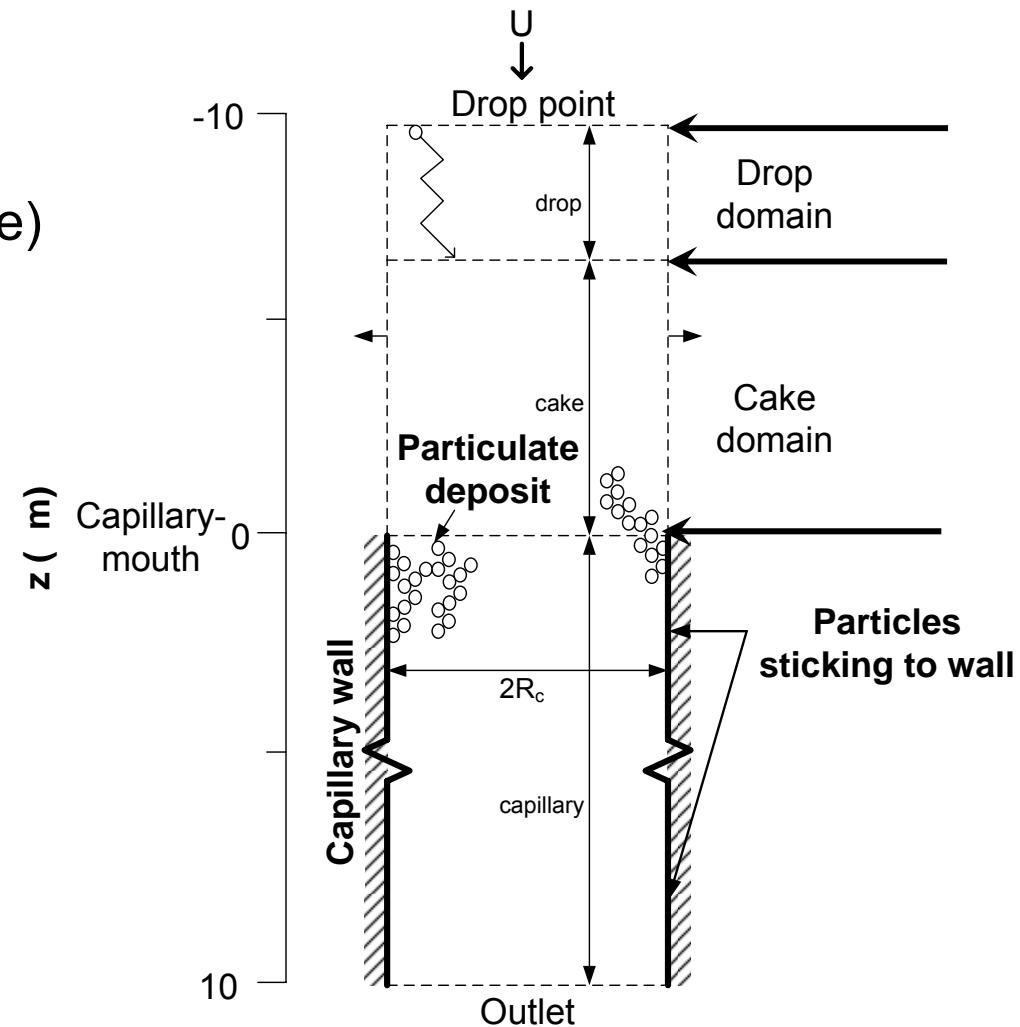
Our approach

Particle (spherical, monodisperse)
tracking by Langevin dynamics

Deposition one-at-a-time [1]

Irreversible deposition

No deposition on surface
between pores (\sim high porosity)



[1] Tassoudes, M., Obrien, J. A., and Rosner D. E., (1989), *AiChe J.*, 35(6), 967-980

Results

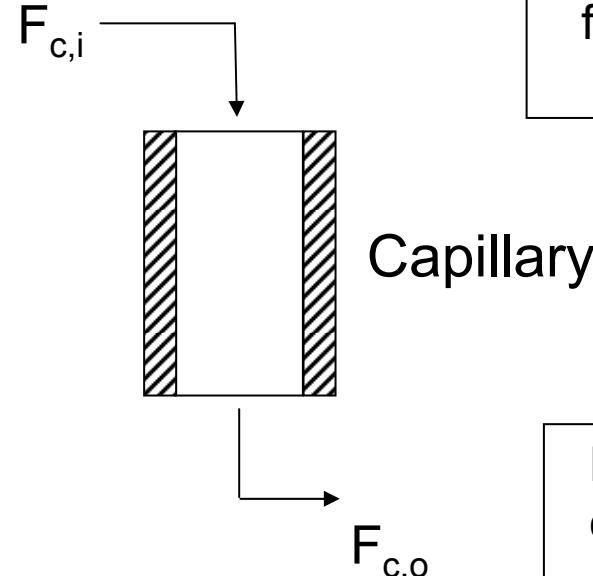
Solid volume fraction profile

$$\phi_{sd}(z) = \frac{V_p(z)}{V_{tot}}$$

$V_p(z)$: Total particle volume at position z

Clogging time, t_{cl}

Time until $F_{c,i} = 0$



Filtration efficiency $\eta(t)$

$$\eta = 1 - F_{c,o}$$

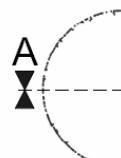
Pressure-drop $\Delta P(t)$

From $\phi_{sd}(z)$

$F_{c,i}$: Fraction of flux entering capillary

$F_{c,o}$: Fraction of flux exiting capillary

Structural evolution at $Pe = 1$

aCapillary
filtrationCapillary
cloggingCake
growth

Deposition focused near inlet of capillary
In agreement to experimental findings [1]

b

$$d_p = 50 \text{ nm}$$

$$C_0 = 10^{14} \text{ m}^{-3}$$

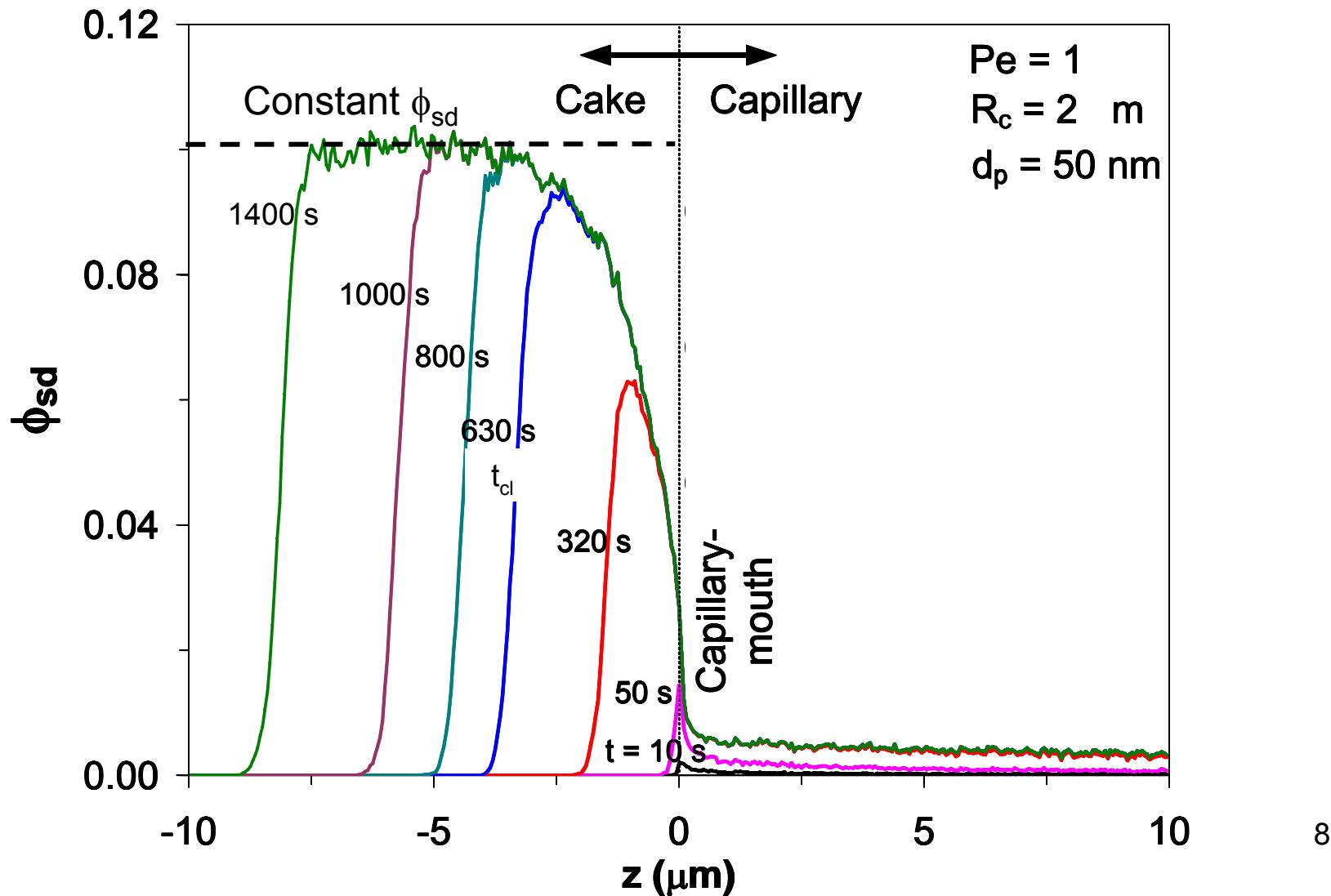
10 s

$2R_c = 4 \mu\text{m}$

A horizontal double-headed arrow indicates a time interval of 10 seconds. Below the arrow, the expression $2R_c = 4 \mu\text{m}$ is written, where R_c likely refers to the radius of the capillary.

[1] Johannessen, T., Jensen, J. R., Mosleh, M., Johansen, J., Quaade, U. And Livbjerg, H., (2004), *Chem. Eng. Res. Des.*, 82(A11), 1444-1452

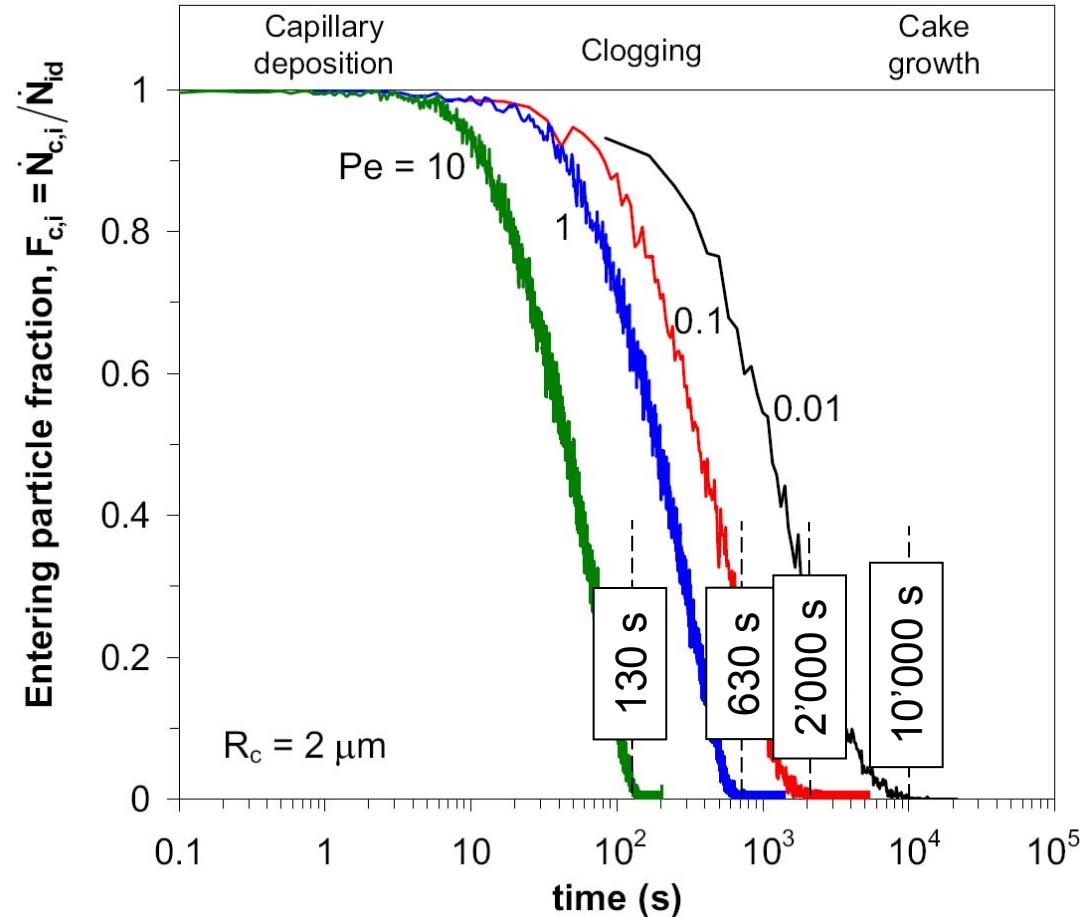
Solid volume fraction evolution



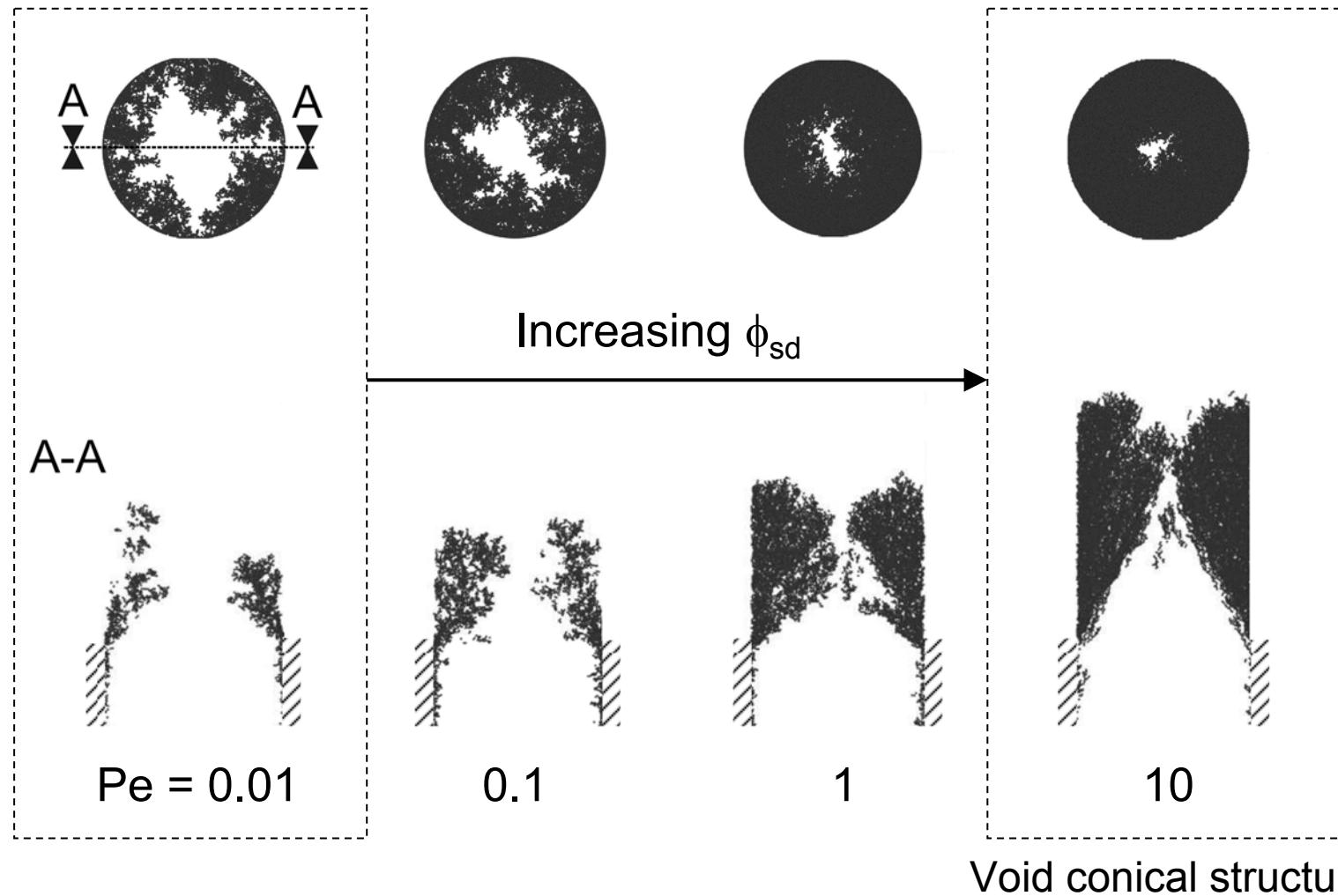
Onset of cake formation

t_{cl} not linear with the particle flux ($\propto Pe$)

Due to increased packing
↳ Larger ϕ_{sd}



Structures at t_{cl} vs Pe

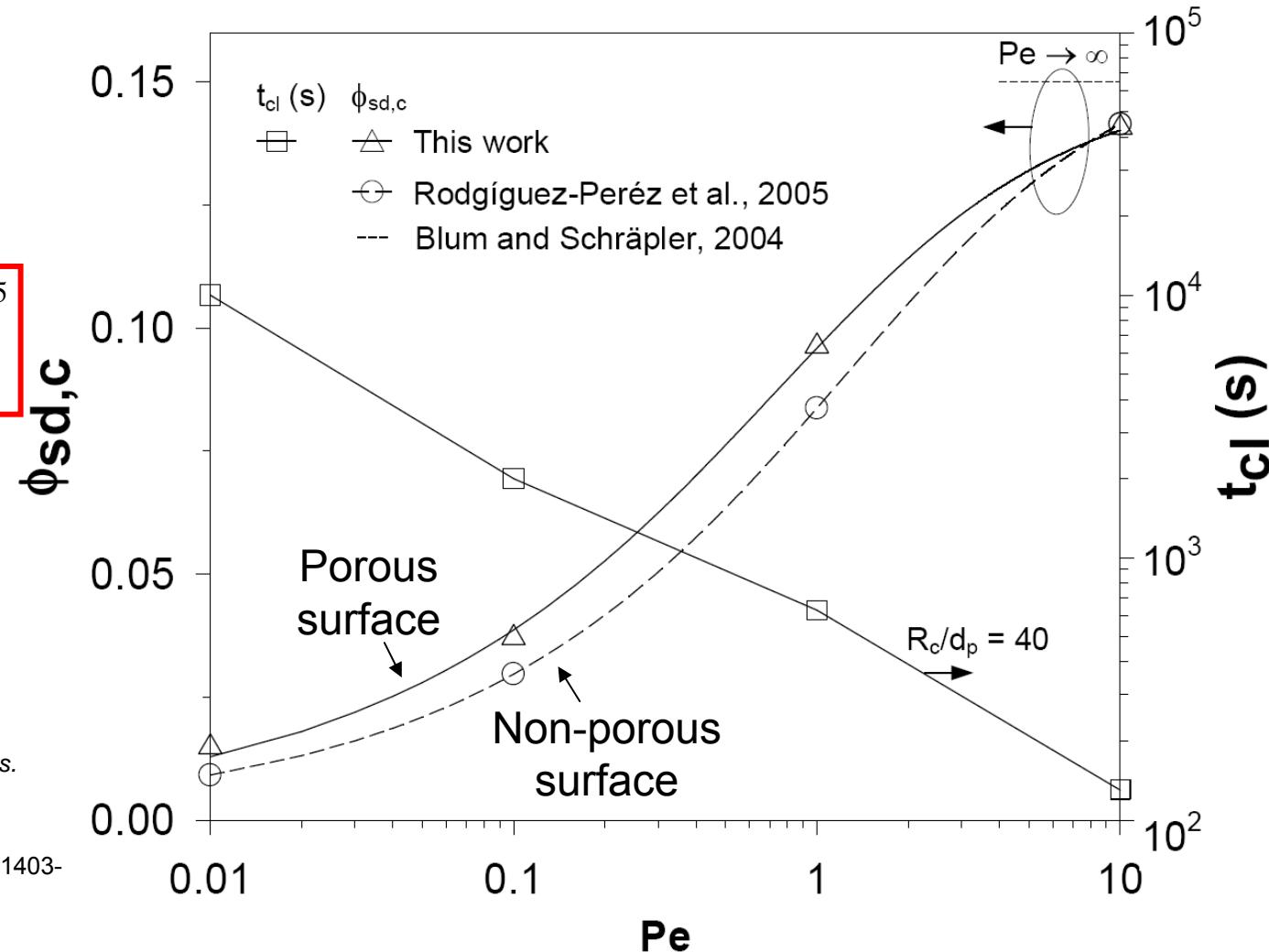


Cake $\phi_{sd,c}$ and clogging time t_{cl}

$\phi_{sd,c}$ follows s-shaped curve

$$\phi_{sd,c} = 0.15 \left(1 + \frac{1.5}{Pe} \right)^{-0.5}$$

t_{cl} seems to follow power-law with Pe



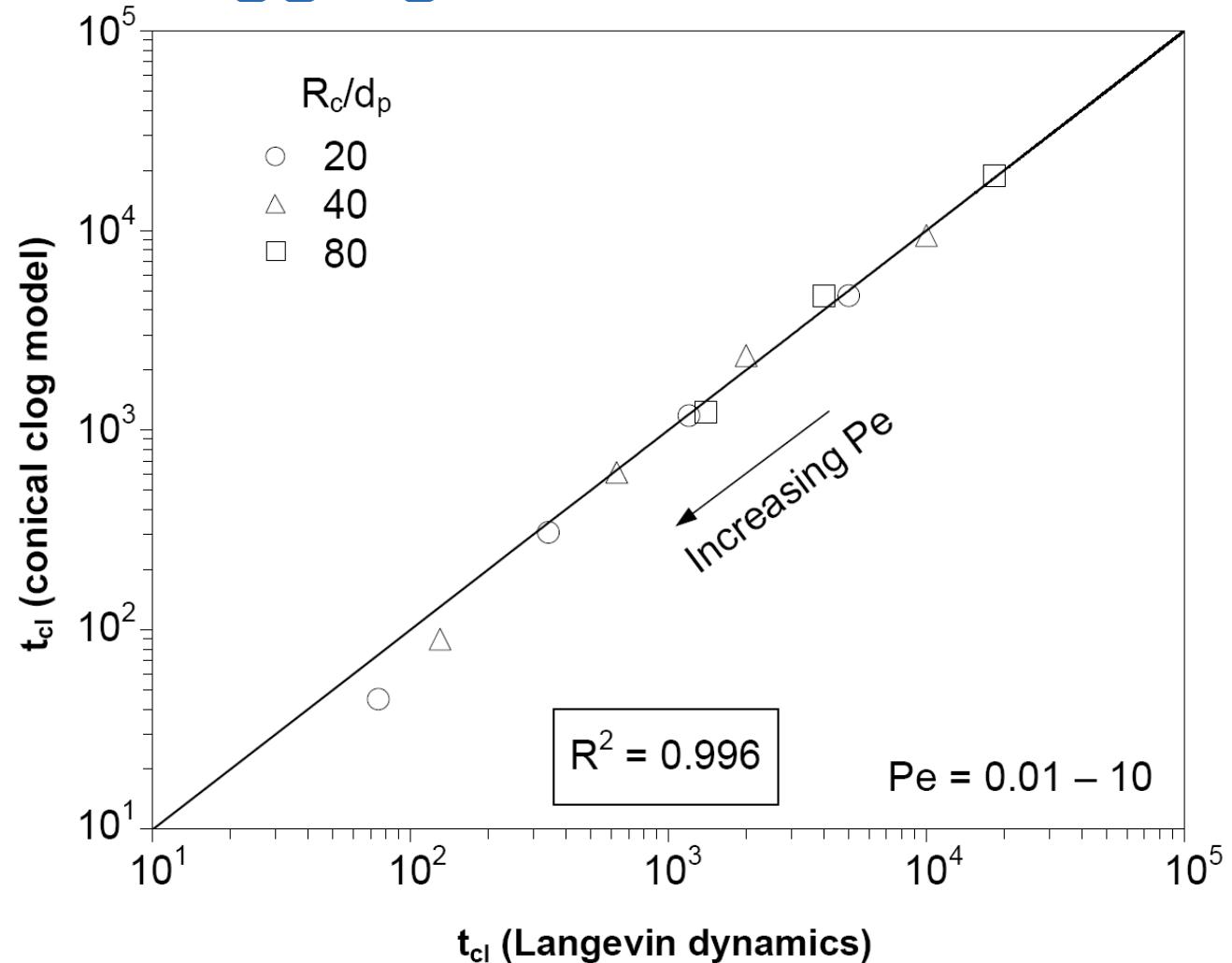
Blum J., and Schräpler, R., (2004), *Phys. Rev. Lett.*, 93(11), 115503-1 – 115503-4

Rodríguez-Pérez, D., Castillo, J. L., and Antoranz, J. C., *Phys. Rev. E.*, 72(2), 021403-1 - 021403-9

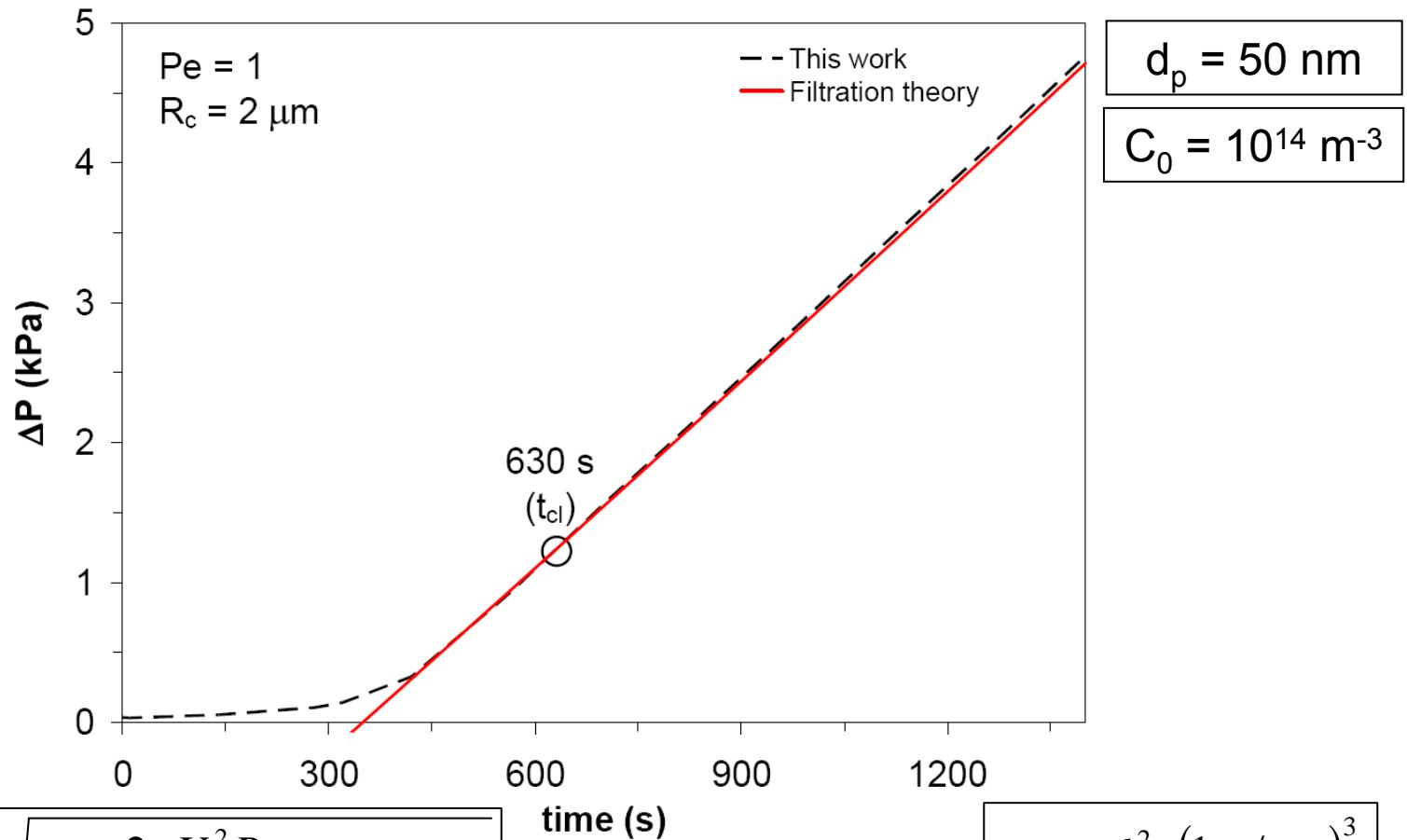
Estimation of clogging time

$$t_{cl} = \frac{2R_c \phi_{sd,c}}{UC_0 v_p}$$

R_c: Capillary radius
C₀: Aerosol concentration
v_p: Volume of single particle



Comparison to filtration theory

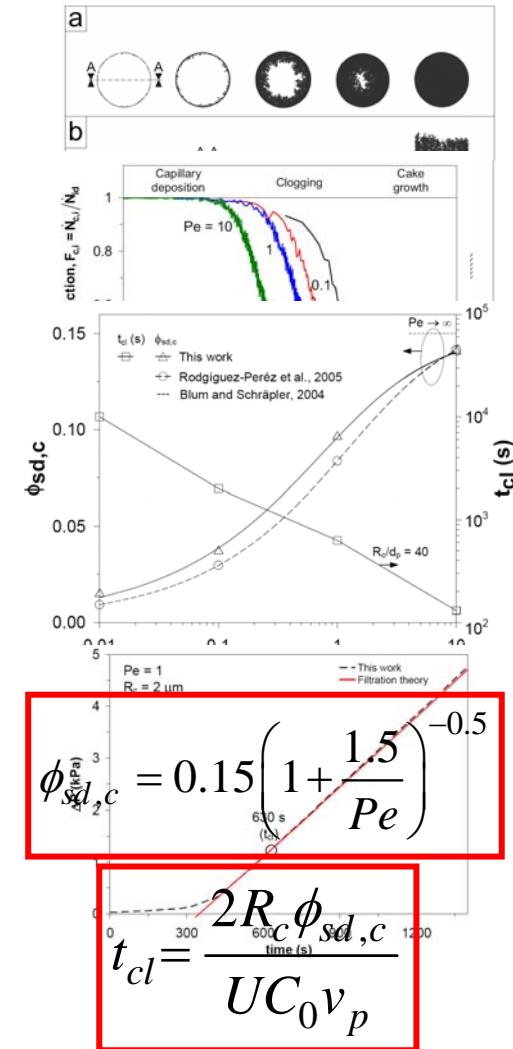


$$\Delta P_{cake}(t) = P_0 - \sqrt{P_0^2 - \frac{2\mu U^2 P_0}{B_{0c}} C_0 v_p (t - t_{cl})}$$

$$B_{0c} = \frac{d_p^2}{150} \frac{(1 - \phi_{sd,c})^3}{\phi_{sd,c}^2}$$

Conclusions

- Full transition between capillary and cake filtration studied by first principles
- Deposition focused near capillary inlet
- Capillary clogging followed by cake growth
 - Characterized by the clogging time t_{cl}
 - Constant solid volume fraction $\phi_{sd,c}$ function of Pe
 - Pressure-drop evolution in agreement with cake filtration theory
- Simple correlation derived between process parameters, clogging time and cake solid volume fraction



Acknowledgements

- ETH Zürich for use of Gonzales HPC Cluster
- Financial support by The Danish Council of Technological Research, Nanoprim and CCMX-Nancer

Functional nanoparticle films

Applications:

Membrane filters (Andersen et al., 2002)

Catalysis (Thybo et al., 2004)

Fuel cells (Chakraborty et al., 2005)

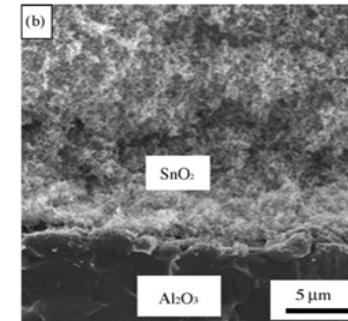
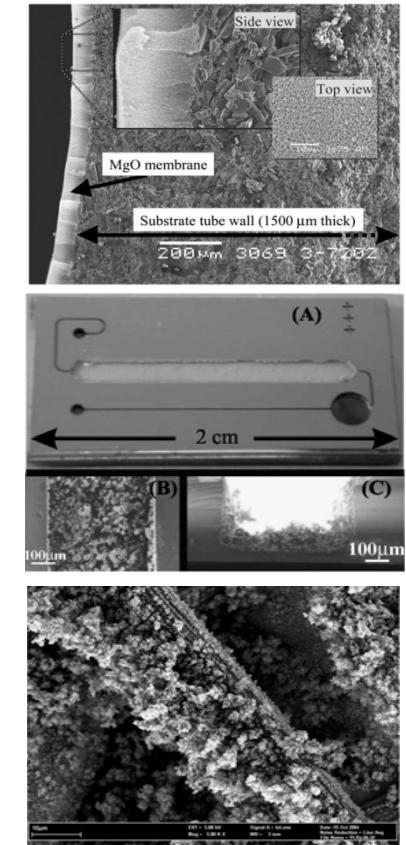
Gas sensors (Mädler et al., 2006a)

Andersen, S. K., Johannessen, T., Mosleh, M., Wedel, S., Tranto, J. and Livbjerg, H., (2002), *J. Nanopart. Res.*, 4(5), 405-416

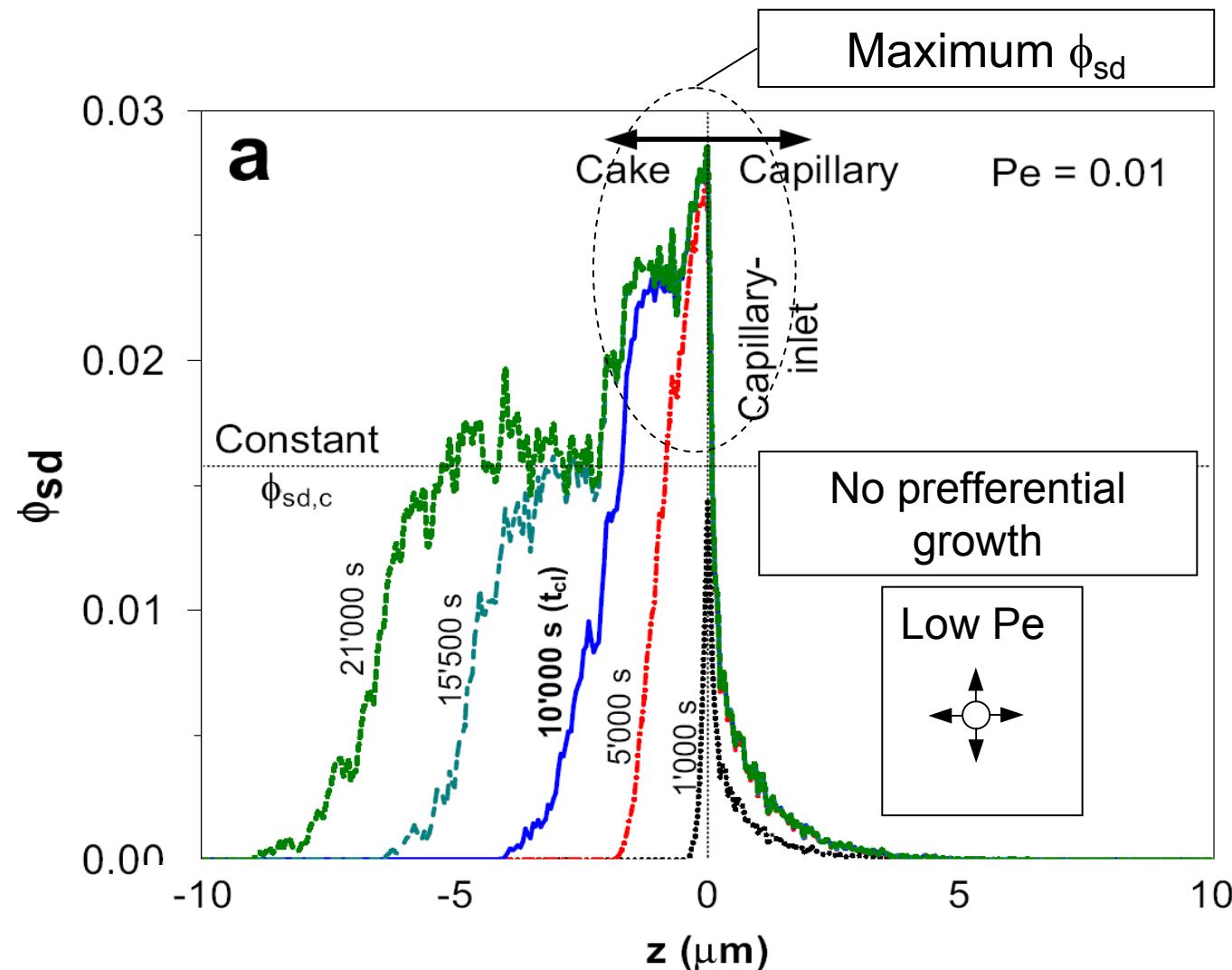
Thybo, S., Jensen, S., Johansen, J., Johannessen, T., Hansen, O. And Quaade, U. J., (2004), *J. Catal.*, 223(2), 271-277

Chakraborty, D., Bischoff, H., Chorkendorff, I. And Johannessen, T., (2005), *J. Electrochem. Soc.*, 152(12), A2357-A2363

Mädler, L., Roessler, A., Pratsinis, S. E., Sahm, T., Gurlo, A., Barsan, N. and Weimar, U., (2006a), *Sens. Actuators, B.*, 114(1), 283-295

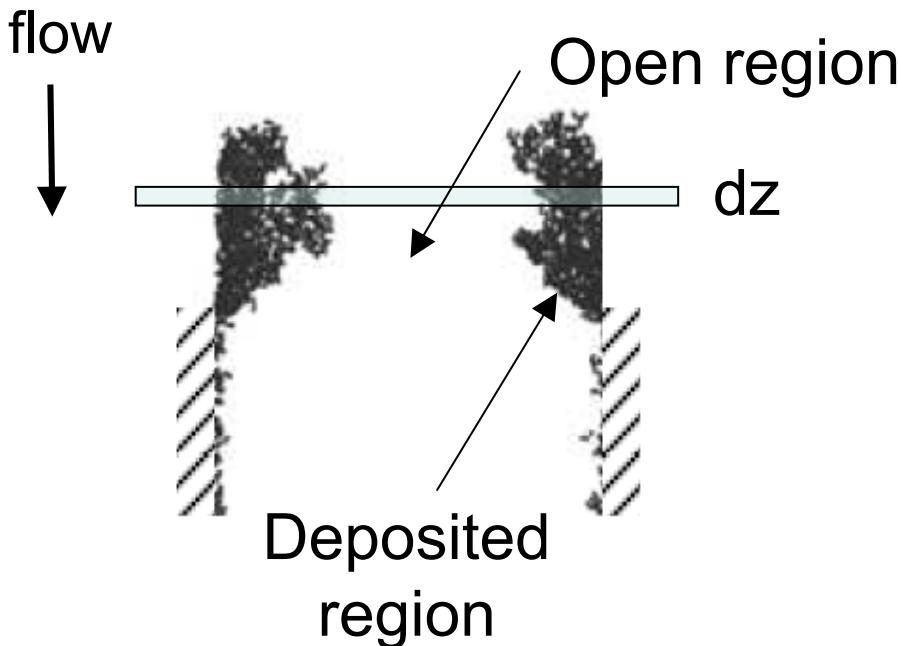


Solid volume fraction evolution at low Pe



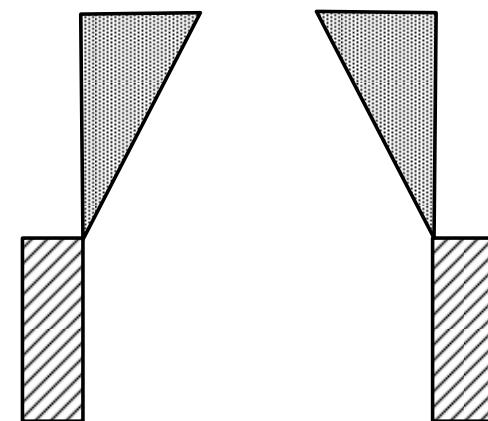
Two region pressure-drop model 1

Direction of flow



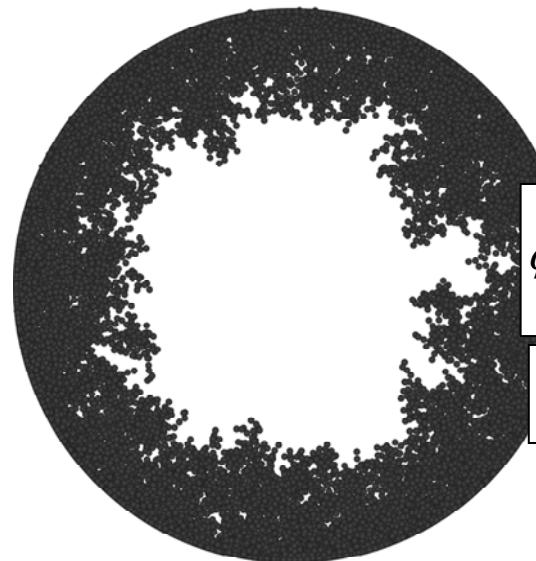
LD model

**Cross-
sectional view**



Simplified
model

Two region pressure-drop model 2



LD model

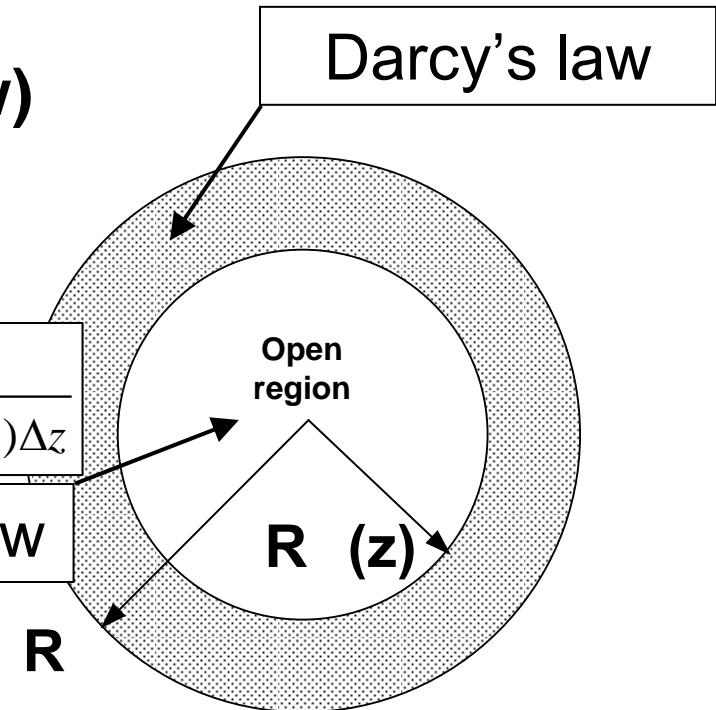
Slice dz
(top-down view)

$$\phi_{sd,clog}(z) = \frac{V_p(z)}{\pi(R_c^2 - R_o^2)\Delta z}$$

Poiseuille's law

Equi-pressure

$$\frac{dP}{dz}(\text{dep.}) = \frac{dP}{dz}(\text{open})$$



Simplified
model

Two region pressure-drop model 3

Compressible flow

$$\Delta P = P_0 - \sqrt{P_0^2 - \frac{16Q_0P_0\mu}{\pi} \int_0^{\delta(t)} \frac{dz}{8(R_c^2 - R_o(t,z)^2)B_0(t,z) + R_o(t,z)^4}}$$

Valid both inside and outside capillary!

$R_o = R_c \rightarrow$ Poiseuille's law

R_o : Radius of open region

R_c : Initial capillary radius

$\delta(t)$: thickness of deposit

P_0 : Inlet pressure (1 bar)

Q_0 : Flow at P_0

μ : Viscosity

$B_0(z)$: Darcy permeability

However, above the deposit, when $R_o = R_c$ we set $dP/dz = 0$

Two region pressure-drop model 4

Compressible flow (cont.)

At cake growth ($t > t_{cl}$, clogging) $R_o \rightarrow 0$

$$\Delta P = P_0 - \sqrt{P_0^2 - \frac{2Q_0 P_0 \mu}{\pi R_c^2} \int_0^{\delta(t)} \frac{dz}{B_0(t, z)}}$$

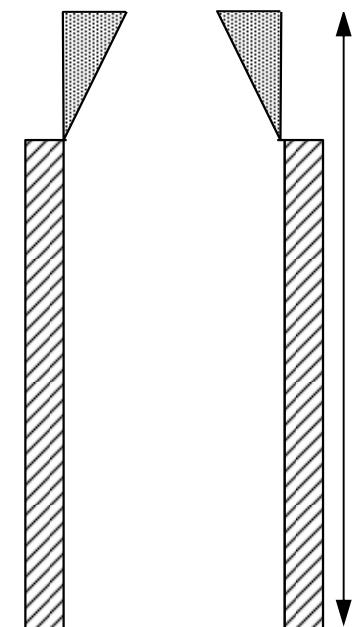
$$= P_0 - \underbrace{\sqrt{P_0^2 - \frac{2Q_0 P_0 \mu}{\pi R_c^2 B_{0c}} \delta_{cake}(t)}}_{\text{Pressure-drop over cake (const } \phi_{sd,c} \text{)}} + \underbrace{\Delta P_{clog}}_{\text{Pressure-drop in clog}}$$

Same equation as in article

"Filtration theory"

B_{0c} : Darcy
permeability in
cake (constant)

Before clogging



Two region pressure-drop model 5

Cake growth (cont.) : $R_o = 0$ and $\eta \rightarrow 1$ (all particles filtered)

$$\delta_{cake}(t)\pi R_c^2 \phi_{sd,c} = C_0 v_p Q_0(t - t_{cl}) \longrightarrow \delta_{cake}(t) = \frac{C_0 v_p Q_0(t - t_{cl})}{\pi R_c^2 \phi_{sd,c}}$$

$$\Delta P = P_0 - \sqrt{P_0^2 - \frac{2Q_0^2 \mu C_0 v_p \delta_{cake}(t)(t - t_{cl}) \Delta P_{clog}}{(\pi R_c^2 B_0^2 B_{0c} \phi_{sd,c})}} \quad \text{Pressure-drop over cake (const } \phi_{sd,c} \text{)}$$

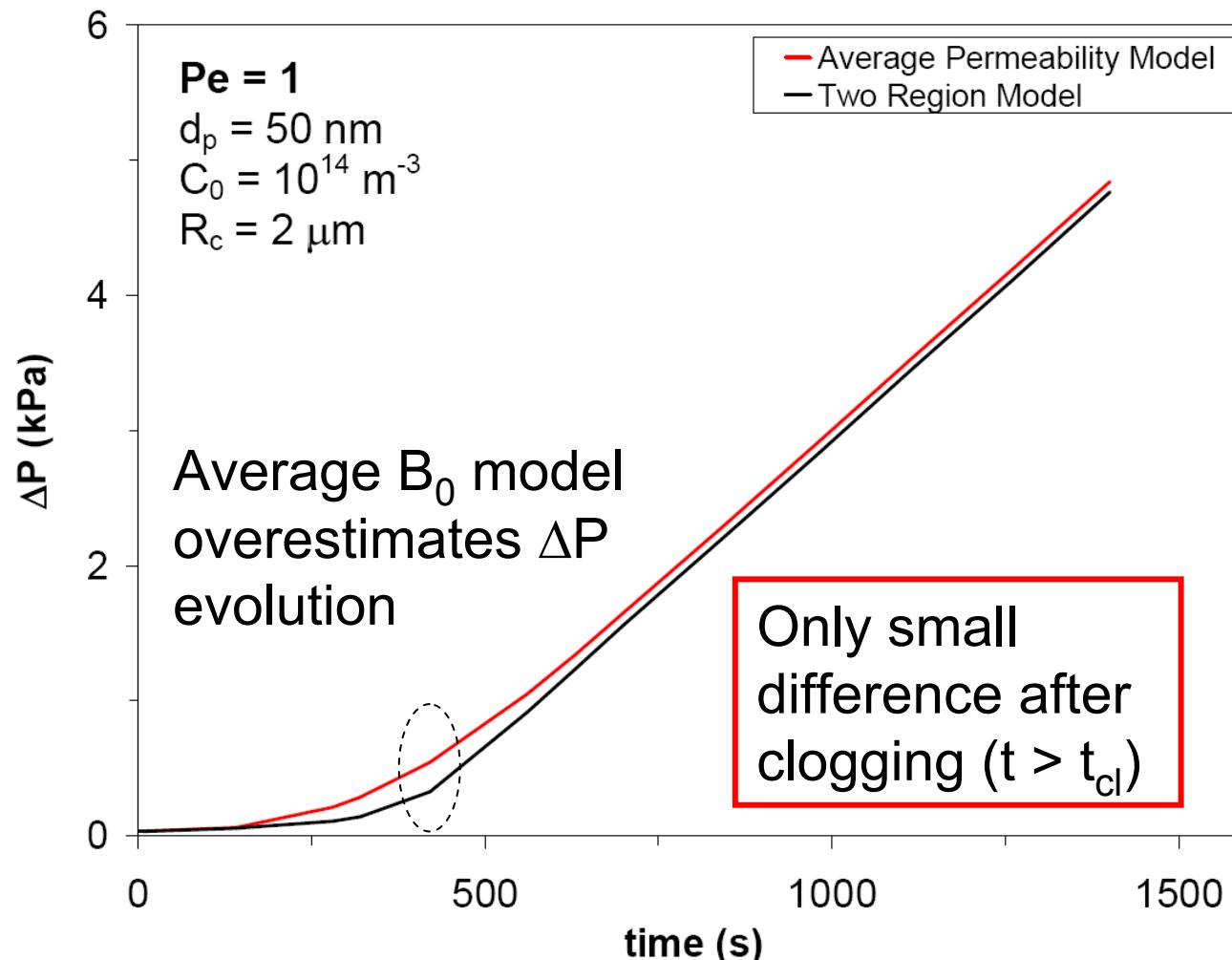
Pressure-drop in clog

Incompressible flow

$$\Delta P = \frac{8Q_0 \mu}{\pi} \int_0^{\delta(t)} \frac{dz}{8(R_c^2 - R_o(t, z)^2)B_0(t, z) + R_o(t, z)^4}$$

δ_{cake}	Height of filtercake
C_0	Aerosol concentration
v_p	Volume of 1 particle
t_{cl}	Clogging time

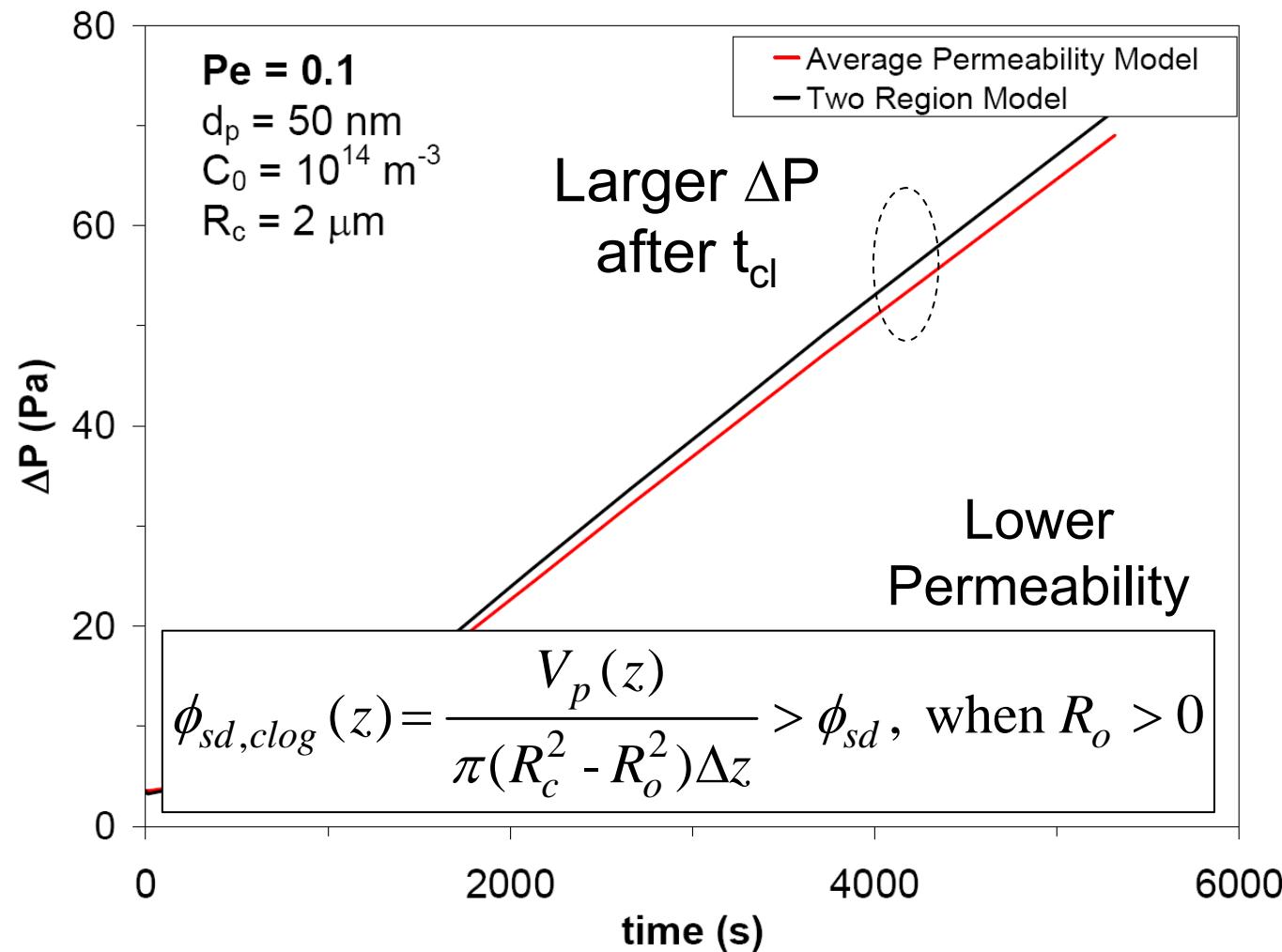
Pressure-drop model comparison 1



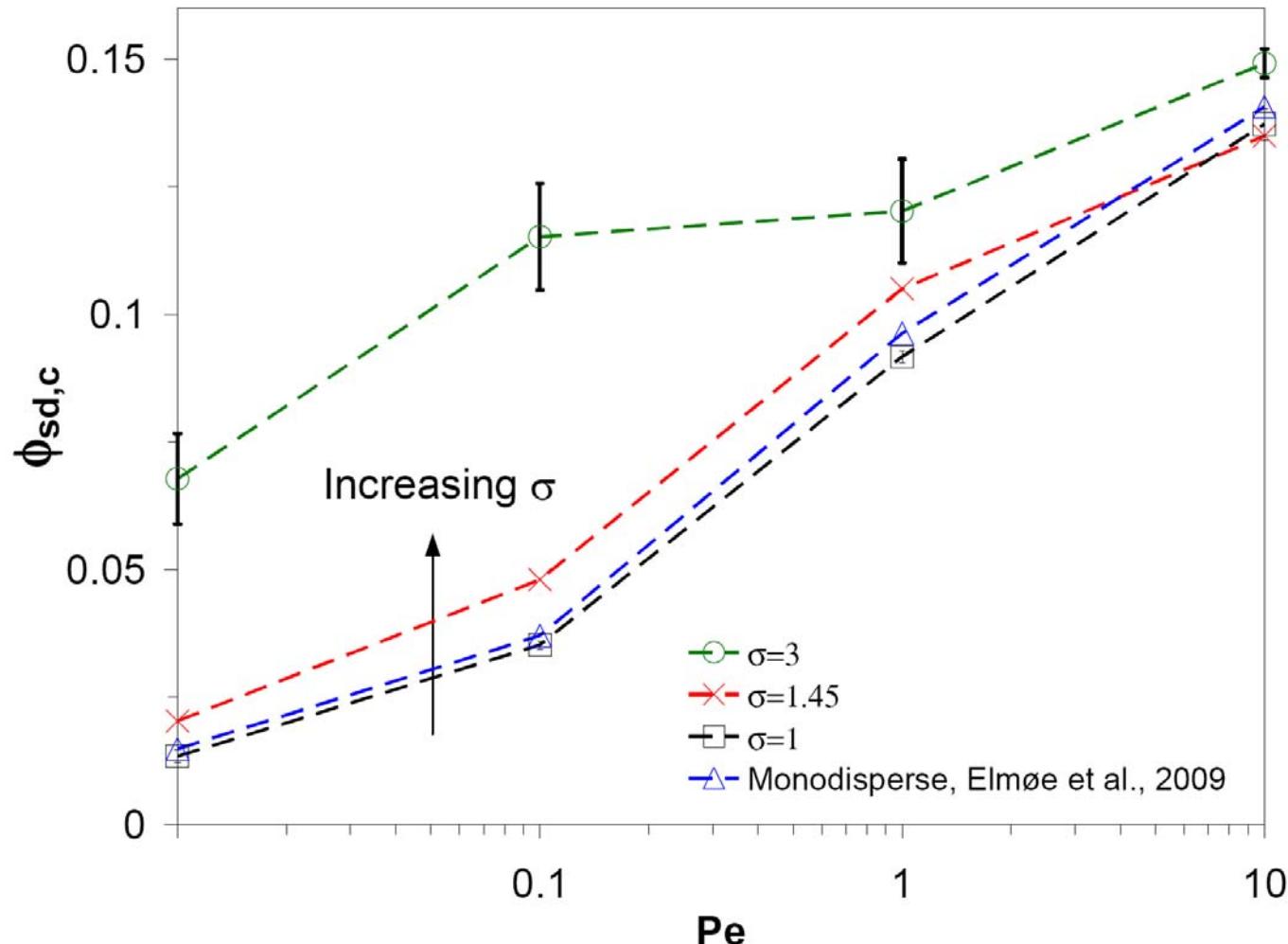
Pressure-drop model comparison 2



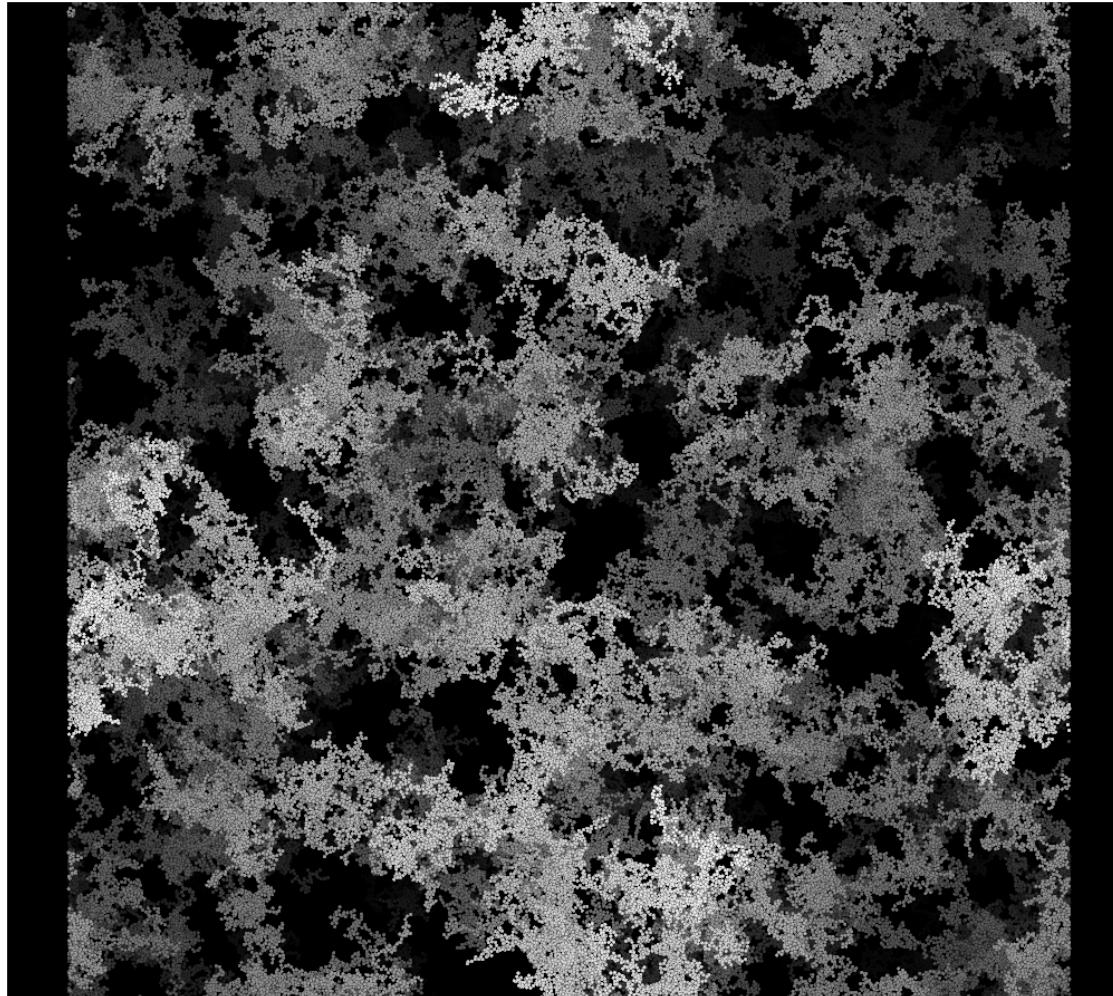
$R_o > 0$
 $t = t_{cl}$
(2000 s)

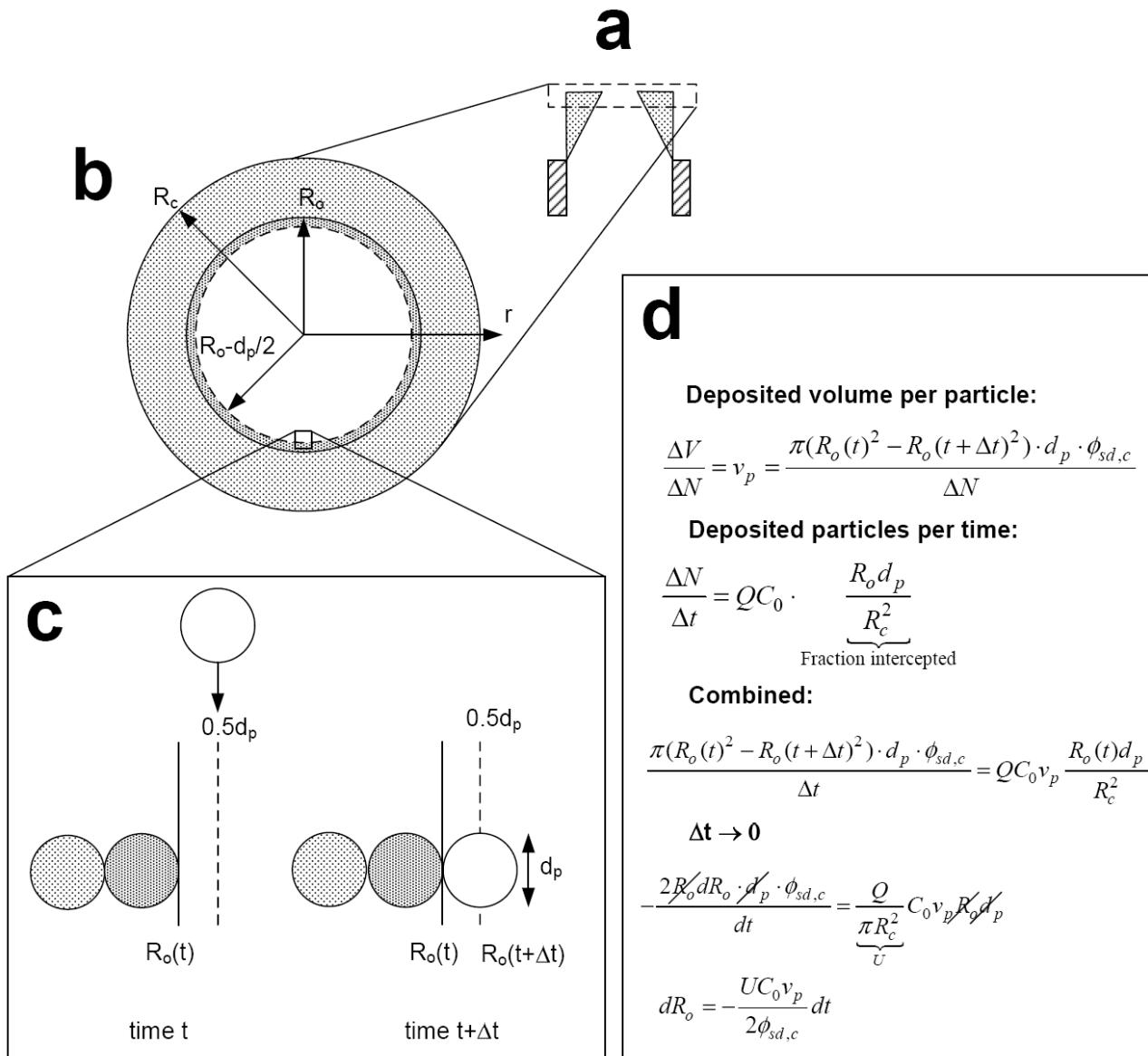


$\phi_{sd,c}$: Influence of polydispersity



Agglomerate deposition





Langevin dynamics

Equation of motion

$$m\dot{\mathbf{v}} = -f(\mathbf{v} - \mathbf{w}) + \mathbf{F} + \mathbf{X}$$

m Particle mass

$\dot{\mathbf{v}}$ Particle acceleration

\mathbf{v} Particle velocity

\mathbf{w} Fluid velocity

\mathbf{F} External forces

\mathbf{X} Brownian force

$$\text{Friction coefficient } f = 3\pi\mu d_p/C_c(d_p)$$

Calculation of pressure-drop

Pressure drop in capillary:

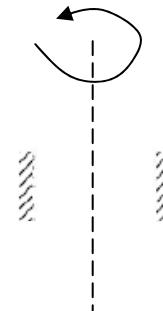
Hagen-Poiseulle equation

Pressure-drop in cake before clogging:

Modified D'Arcy's law

Pressure-drop in cake:

D'Arcy's law



Pressure drop in capillary

Basis: Hagen-Poiseuille

Assumptions - in a slice dz :

- Particle deposition decreases effective capillary size (Spurny et al., 1969; Fan and Gentry, 1978)
- Deposited layer << permeable than open part of capillary

$$P \frac{dP}{dz} = - \frac{8\mu UP_0}{(1 - \phi_{sd}(t, z))^2 R_c^2}$$

- P : Pressure (Pa)
- z : Depth (m)
- U : Face velocity (m/s)
- P_0 : Inlet pressure (101325 Pa)
- R_c : Capillary radius (μm)
- μ : Gas viscosity (kg/ms)
- $\phi_{sd}(t, z)$: Solid volume fraction of deposit at pos. "z" and time "t".

Darcy permeability

Basis: Darcy's law

Assumption - in a slice dz :

Application of an effective
permeability

Effective pore size →

Effective permeability

(Jackson, 1977)

$$B_0(t, z) = \frac{d_p^2}{72} \frac{(1 - \phi_{sd}(t, z))^3}{\phi_{sd}^2(t, z)}$$

Particle size, d_p , Solid volume

fraction, ϕ_{sd} →

Effective pore size, D_c , (Ergun
and Orning, 1949)

$$\frac{D_c(t, z)}{d_p} = \frac{2}{3} \frac{1 - \phi_{sd}(t, z)}{\phi_{sd}(t, z)}$$

$$P(t) \frac{dP(t)}{dz} = - \frac{\mu Q_0 P_0}{\pi R_c^2 B_0(t, z)}$$

Simplified clog model 1

Assumptions:

Particles deposited by interception

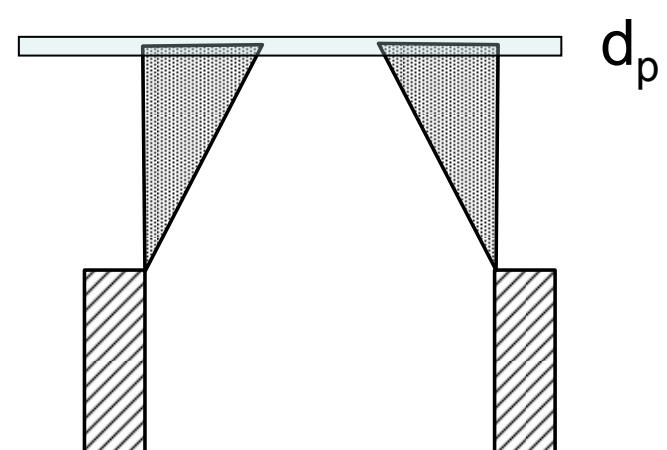
Clog $\phi_{sd,clog} = \phi_{sd,c}$

$$\phi_{sd,clog}(z) = \frac{V_p(z)}{\pi(R_c^2 - R_o^2)\Delta z} = \phi_{sd,c}$$

Clogging at $R_o = 0$

Direction of flow

° Nanoparticle



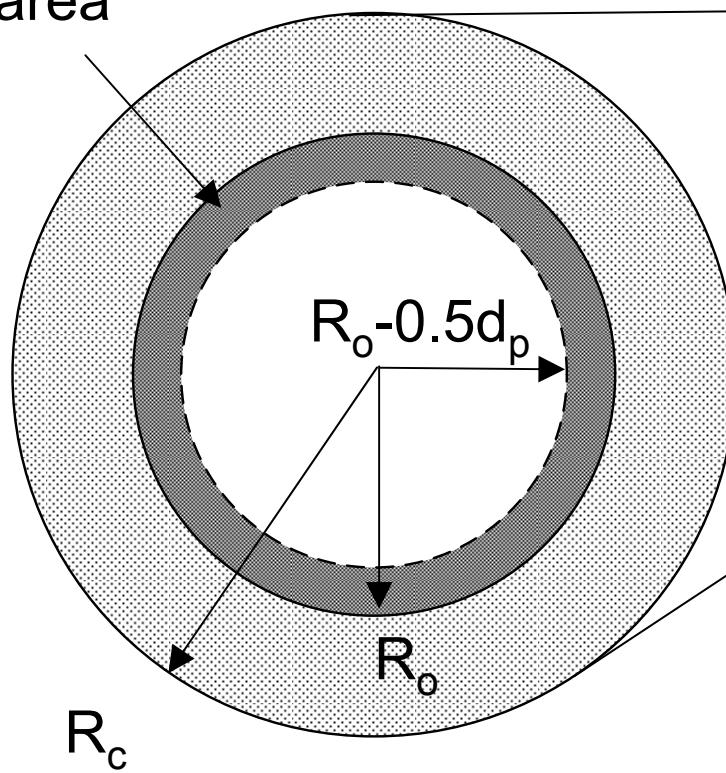
LD model

Cross-sectional view

Simplified model

Simplified clog model 2

Interception area → Fraction of particles within

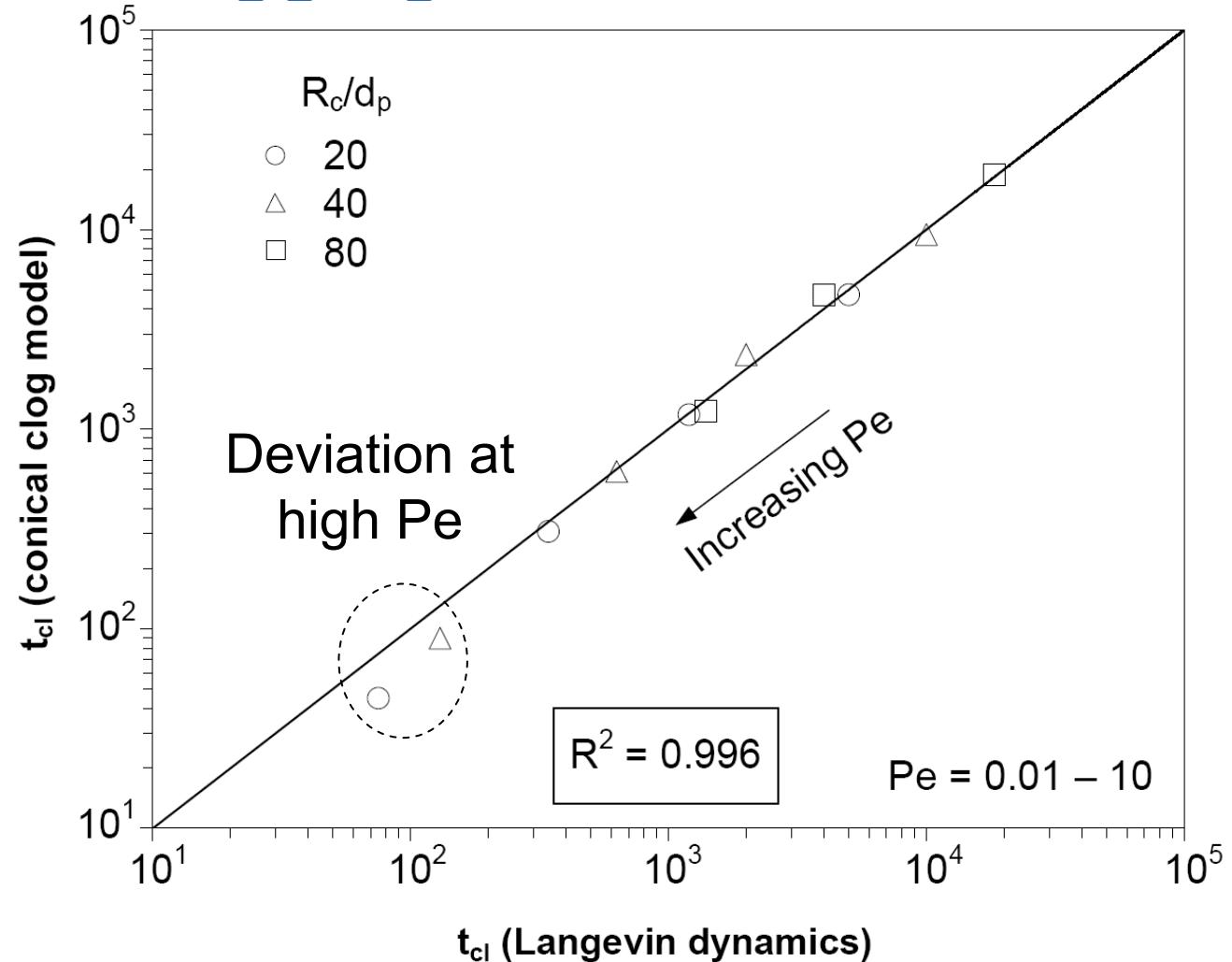
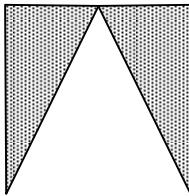


$$\begin{aligned}
 X &= \frac{C_0 2\pi \Delta z}{R_o d_p} \int_{R_c}^{R_o - 0.5d_p} \frac{r dr}{(R_o - 0.5d_p)^2} = \frac{R_o^2 - R_c^2}{R_o^2 - (R_o - 0.5d_p)^2} \Rightarrow \\
 &\text{Mass-balance: } \frac{\pi (R_o + t)^2 d_p}{R_c^2} R_o (0.25 \Delta t) = \frac{R_o^2 d_p}{R_c^2} \phi_{sd,c} \\
 &\underbrace{-2 \pi R_o d R_o \cdot d_p \cdot \phi_{sd,c}}_{\text{Volume change}} = \underbrace{X \cdot Q_0 C_0 v_p dt}_{\text{Deposited volume}} \\
 \int_{R_c}^0 dR_o &= -\frac{Q_0 C_0 v_p}{2\pi R_c^2 \phi_{sd,c}} \int_0^{t_{cl}} dt \Rightarrow t_{cl} = \frac{2R_c \phi_{sd,c}}{U C_0 v_p}
 \end{aligned}$$

Estimation of clogging time

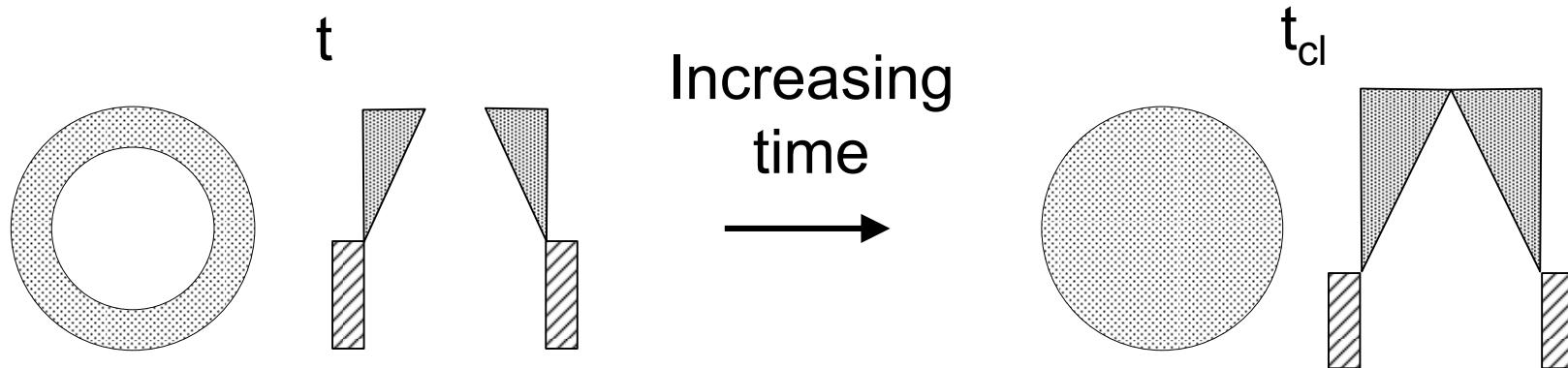
$$t_{cl} = \frac{2R_c \phi_{sd,c}}{UC_0 v_p}$$

R_c : Capillary radius
 C_0 : Aerosol concentration
 v_p : Volume of single particle



Clogging height

Growth of cone



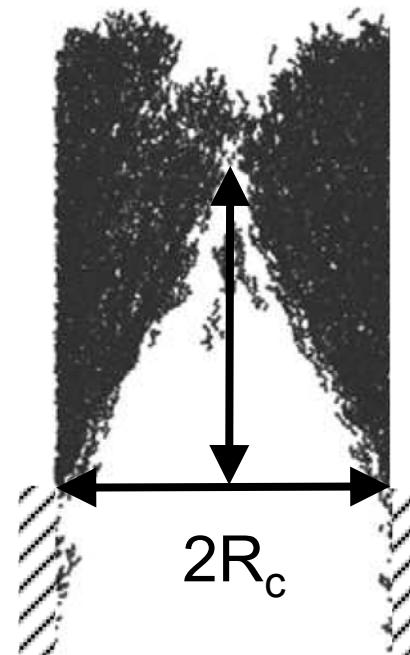
Mass-balance: shaded area

$$\underbrace{\pi(R_c^2 - R_o^2)\Delta z \cdot \phi_{sd,c}}_{\text{Volume increase of cone}}$$

$$\delta_{cl} = \frac{UC_0 v_p}{\phi_{sd,c}} t_{cl} \Rightarrow$$

Height of cone

$$\delta_{cl} = 2R_c ?$$

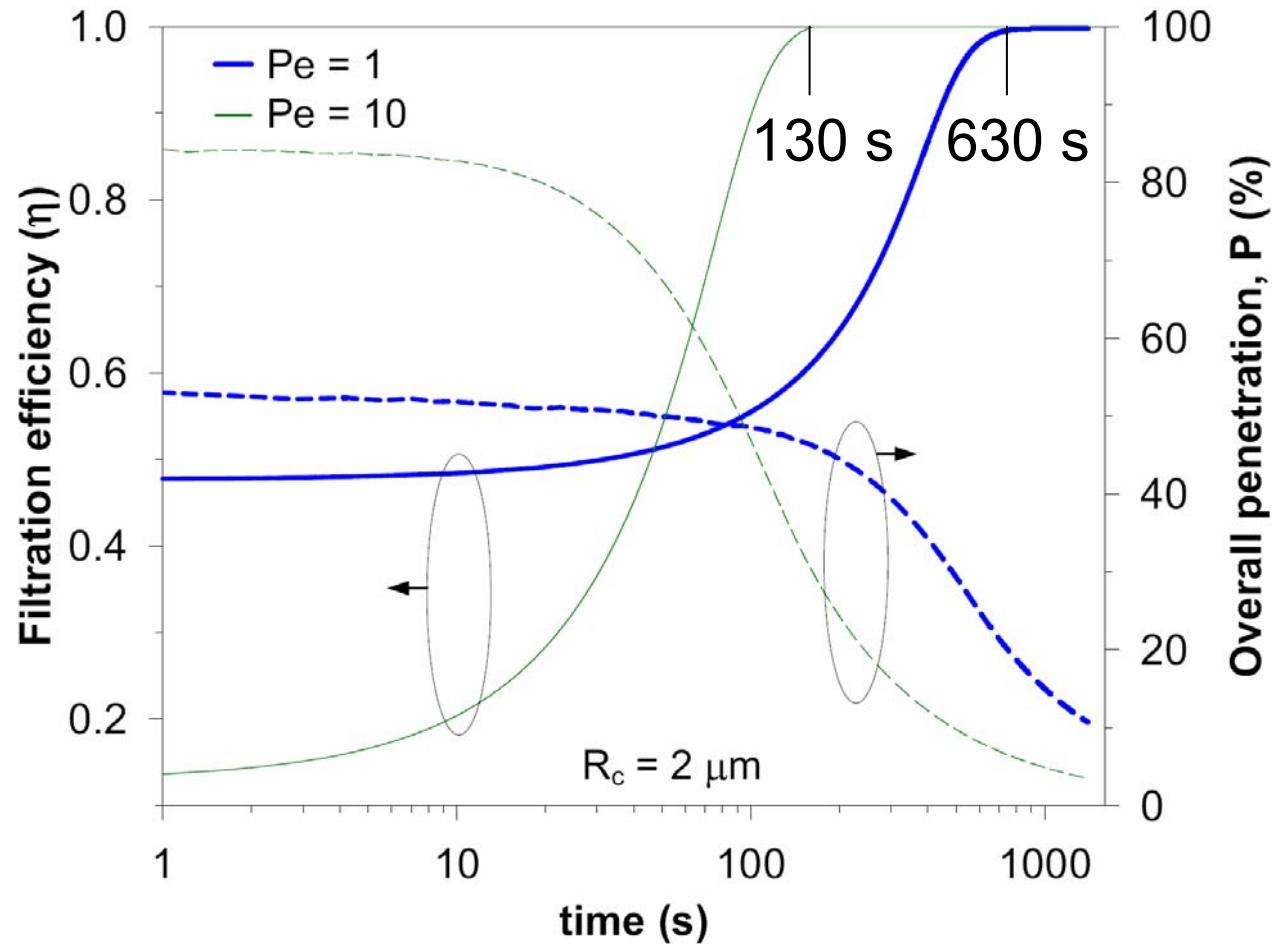


$$Pe = 10$$

Evolution of filtration efficiency

$\eta \rightarrow 1$ at $t = t_{cl}$

Initially,
more
particles
penetrate at
high Pe



Nanoparticle filtration 2

- Formation of filter cake
 - Effect of varying filtration rate, filter geometry, particle/aggregate morphology on time for formation of filter cake (clogging time, t_{cl}) and cake solid volume fraction ($\phi_{sd,c}$)
- Optimization of filtration efficiency η

Reduction of pressure-drop ΔP

Outline

- Filtration theory
- Our approach
- Evolution of cake structure and pressure drop
- Conclusions