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**PREDICTION OF NUCLEATION AND COAGULATION MODES
IN THE FORMATION OF DIESEL PARTICULATE MATTER**

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Additional Details Available From the Following Papers

1. “On the Prediction of Concentration Variations in a Dispersing Heavy-duty Truck Exhaust Plume Using k-e Turbulent Closure”, **Atmospheric Environment**, Vol. 35(31), pp. 5267-75 (2001)
2. “Modeling Nucleation and Coagulation Modes in the Formation of Particulate Matter Inside a Turbulent Exhaust Plume of a Diesel Engines”, **Journal of Colloid and Interface Science**, Vol. 249(1), pp. 96-103 (2002)
3. “Effect of Ambient Dilution on Coagulation of Particulate Matter in a Turbulent Dispersing Plume”, *Air Emissions From Mobile Sources- Recent Data and Trends (Session Code: ENV4) at SAE Congress 2002*, Cobo Center, Detroit, MI, March 4-7, 2002, **SAE Paper Number 2002-01-0652**
4. “Prediction of Pollutant Concentration Variation Inside a Turbulent Dispersing Plume Using PDF and Gaussian Models”, *Air Emissions From Mobile Sources- Recent Data and Trends (Session Code: ENV4) at SAE Congress 2002*, Cobo Center, Detroit, MI, March 4-7, 2002, **SAE Paper Number 2002-01-0654**
5. “Effect of Soot on Nox in Industrial Furnaces”, AFRC Fall Symposium, San Francisco, CA, October 4, 1999

Particulate Matter Formation

- **Particulate Matter in Diesel Exhaust**

**Continuous Transformation: Nucleation, Coagulation,
Condensation and Evaporation of Organics
and Inorganics**

- **Fate of Condensable Organics and Inorganics**

- **Affected by Atmospheric Aging and Dilution of the Exhaust Stream**
- **Process of Changing Size Distribution-Nucleation, Coagulation
and Condensation**

Objectives

- **To Predict the Nucleation, Coagulation, and Dynamics of Particulate Matter Emissions in the Plume of a Class-8 Diesel-fueled Tractor Operating at 55 miles/hour.**

To Predict the Structure of Plume, Including Variation of Temperature, Concentration and Dilution Ratio

Technical Approach

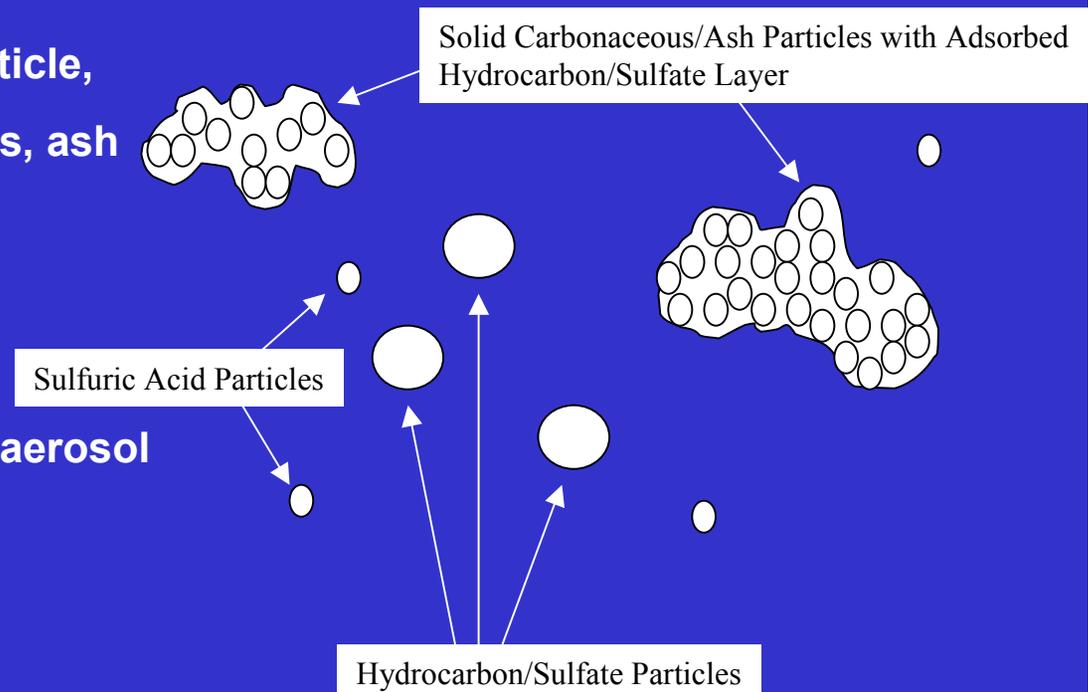
- Predicted CO₂ concentration, dilution ratio, and temperature variations inside the plume using CFD models
- Solved-using FLUENT Solver
 - k-ε turbulent closure, eddy dissipation species transport, energy equation
- Included effect of nucleation, condensation, and coagulation of particulate matter formation, simultaneously
- With this method, the data required to solve these equations was significantly reduced.
- Particle concentration predicted based on the sulfur content of fuel, F/A ratio and ambient conditions

Plume Models

- Empirical Gaussian Models (Kaharabata *et al.*, 2000, Hanna, 1984)
- Similarity Models (Obasaju and Robins, 1998, Huai and Li, 1993)
- Probability Density Function Models (PDF) (Reynolds, 2000)
- k- ϵ Models (Sharan and Yadav, 1998, Hwang and Chiang 1988)
- Statistical Models (Heinz and vanDop, 1999, Sawford, 1983)
- Large Eddy Simulation Models (LES) (Sykes *et al.*, 1984)

Typical Structure of Engine Exhaust Particles

- Agglomerated solid carbonaceous particle, volatile organic, sulfur compounds, ash
- Most of sulfur in the fuel
 - oxidized to SO_2 , then
 - oxidized to SO_3
 - leads to sulfuric acid and sulfate aerosol
- Metal compounds in fuel and lube oil
 - lead to inorganic ash



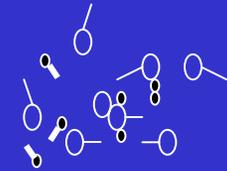
(Seinfeld and Pandis, 1997)

Nucleation Process

- **Homogeneous nucleation (Springer, 1978)**
 - In the absence of condensation nuclei
 - Require large saturation ratio ($S > 1$)
- **Heterogeneous nucleation**
 - Occurs on a foreign substance or surface, such as an ion or a solid particle
- **Binary homogeneous nucleation**
 - Two or more vapor species



(a) Binary Vapor



(b) Molecular Clusters



(c) Stable Nuclei



(d) Particle Growth

(Baumgard and Johnson, 1996)

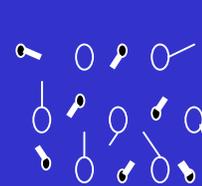
Nucleation Process (Cont'd)

- **Certain number of H₂O and H₂SO₄ molecules collide**
For critical cluster- sufficient energy to be stable
 - **Greater than critical size, grow**
(less, shrink)
 - **Rate of nucleation (H₂SO₄ hydrate (embryo) formation predicted by Reiss, 1950):**

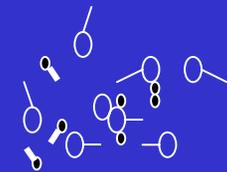
$$J = C \exp(-\Delta G^* / kT)$$

(Grow past critical size)

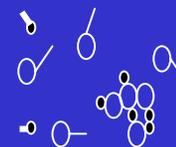
- **Higher nucleation rate occurs**
at higher relative humidity,
and lower temperature



(a) Binary Vapor



(b) Molecular Clusters



(c) Stable Nuclei

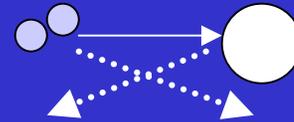


(d) Particle Growth

C is the frequency factor, k is the Boltzmann's constant, T is the temperature and ΔG is the free energy required to form an embryo

Coagulation Model

- Integro-Differential Equation



$$\frac{\partial n(v, t)}{\partial t} = \frac{1}{2} \int_0^v \beta(v - \tilde{v}, \tilde{v}) n(\tilde{v}, t) n(v - \tilde{v}, t) d\tilde{v} - \int_0^\infty \beta(v, \tilde{v}) n(v, t) n(\tilde{v}, t) d\tilde{v},$$

$n(v, 0) = n_0(v),$ **Augmentation Term** **Depletion Term**
 $n(v, t) = 0$

- Simple Monodisperse Coagulation (Hinds, 1982)
 - Particles are monodisperse, contact one another, grow slowly.
- Polydisperse Coagulation
 - Governed by diffusion of particles to the surfaces of other particles

Techniques For Solving Coagulation Equations

- J-space Transformation (Yom and Brock, 1984)
 - Asymptotic solution (Pilinis and Seinfeld, 1987)
 - Discrete method (Tambour and Seinfeld, 1980)
 - Moment method (Williams and Loyalka, 1991; McGraw, 1997)
 - Parametrized Representation (Whitby, 1985)
 - Similarity solution (Friedlander and Wang, 1966)
 - Direct simulation by Monte Carlo method
(Kruis *et al.*, 2000; Maisels *et al.*, 1999)
-
- Semi-implicit Finite-Difference Scheme (Jacobson *et al.*, 1994)
 - Most Generic

GOVERNING EQUATION

The evolution of PM size distribution due to coagulation, nucleation and condensation is represented by the discrete dynamical equation:

$$\frac{\partial C_k}{\partial t} = \underbrace{\frac{1}{2} \sum_{j=1}^{k-1} \beta_{k-j,j} C_{k-j} C_j}_{\text{COAGULATION}} - \underbrace{C_k \sum_{j=1}^{\infty} \beta_{k,j} C_j}_{\text{NUCLEATION}} + \underbrace{J(t)\delta(k) + \beta_{1,k-1} C_1 C_{k-1} - \beta_{1,k} C_1 C_k}_{\text{CONDENSATION}}$$

COAGULATION

NUCLEATION

CONDENSATION

C_k = time dependent number concentration (No./cm³) of particles of volume v_k (cm³)

β = coagulation kernel (cm³ No.⁻¹ s⁻¹) of two colliding particles

$J(t)$ = Nucleation rate

δ = Kronecker delta with a value of 1 for the k^{th} bin of volume v_k ; and 0 otherwise

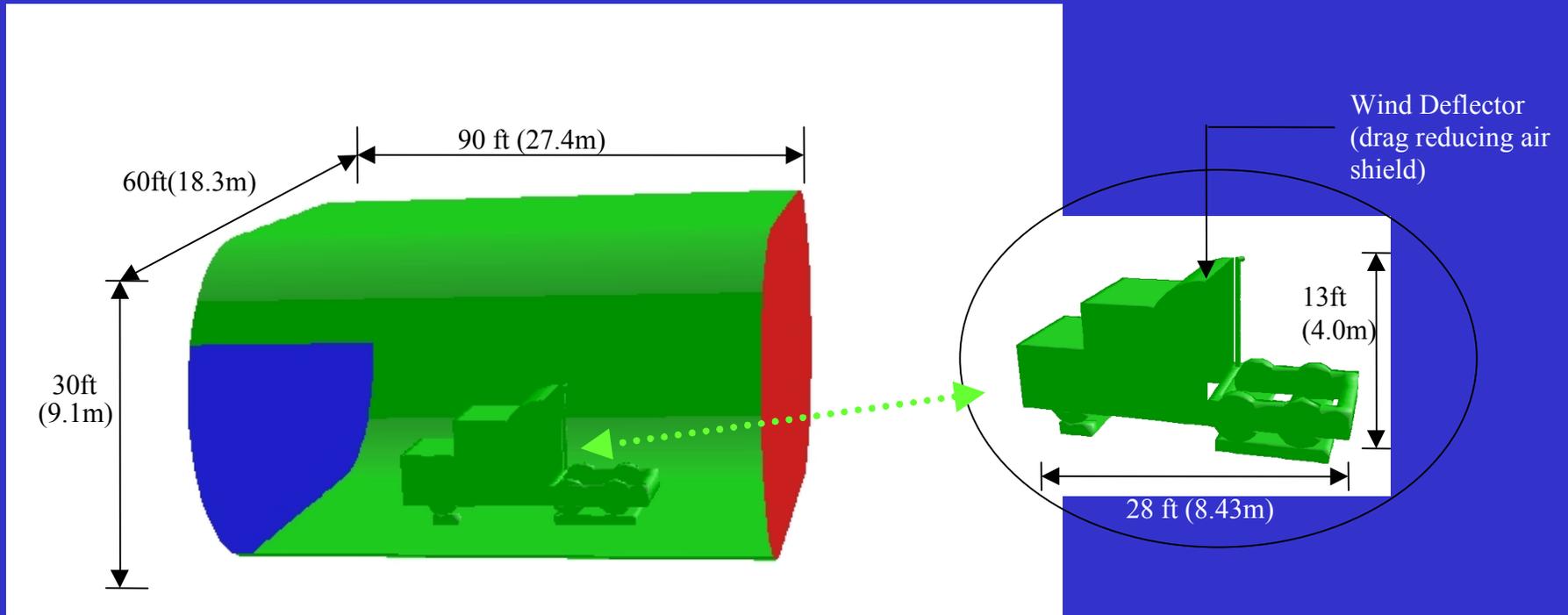
(Sienfeld and Pandis, 1997)

GOVERNING EQUATION

To account for the simultaneous effects of nucleation, coagulation, and condensation the general formula for volume-conserving, semi-implicit equation can be solved (Jacobson et al. , 1994; Kim et al., 2001) to predict the concentration variation of PM in the exhaust plume of a diesel truck traveling at highway speeds:

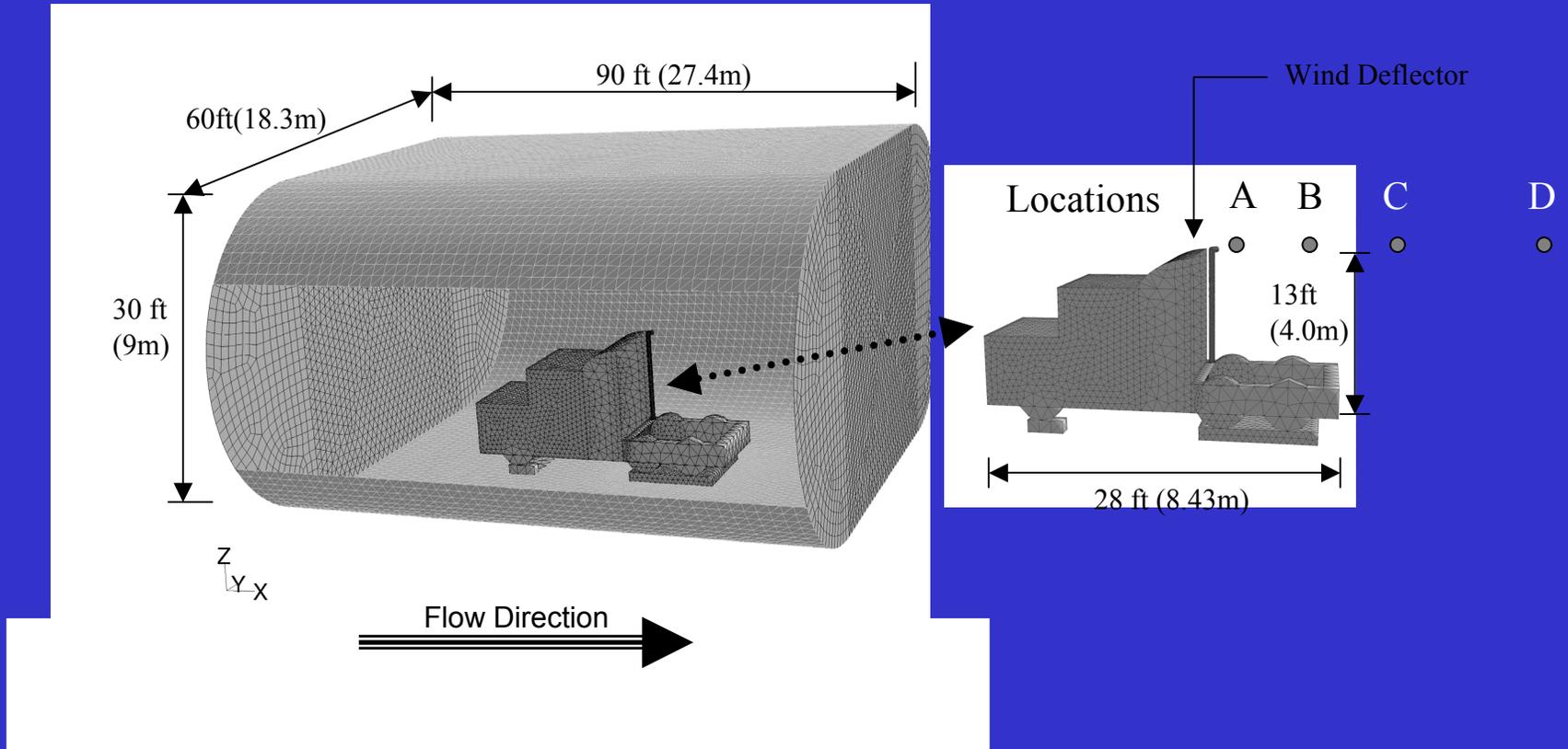
$$v_k C_k^{t+1} = \left(\frac{v_k C_k^t + \Delta t \sum_{j=1}^k \left\{ \sum_{i=1}^{k-1} f_{i,j,k} \beta_{i,j} v_i C_i^{t+1} C_j^t \right\} + \Delta t v_k J(t) \delta((k)) + \Delta t v_k \beta_{1,k-1} C_1^{t+1} C_{k-1}^{t+1}}{1 + \Delta t \sum_{j=1}^{N_B} (1 - f_{i,j,k}) \beta_{k,j} C_j^t + \Delta t \beta_{k,j} C_{t_1}} \right) \frac{1}{Dilution\ Ratio}$$

SIMULATION CONDITIONS

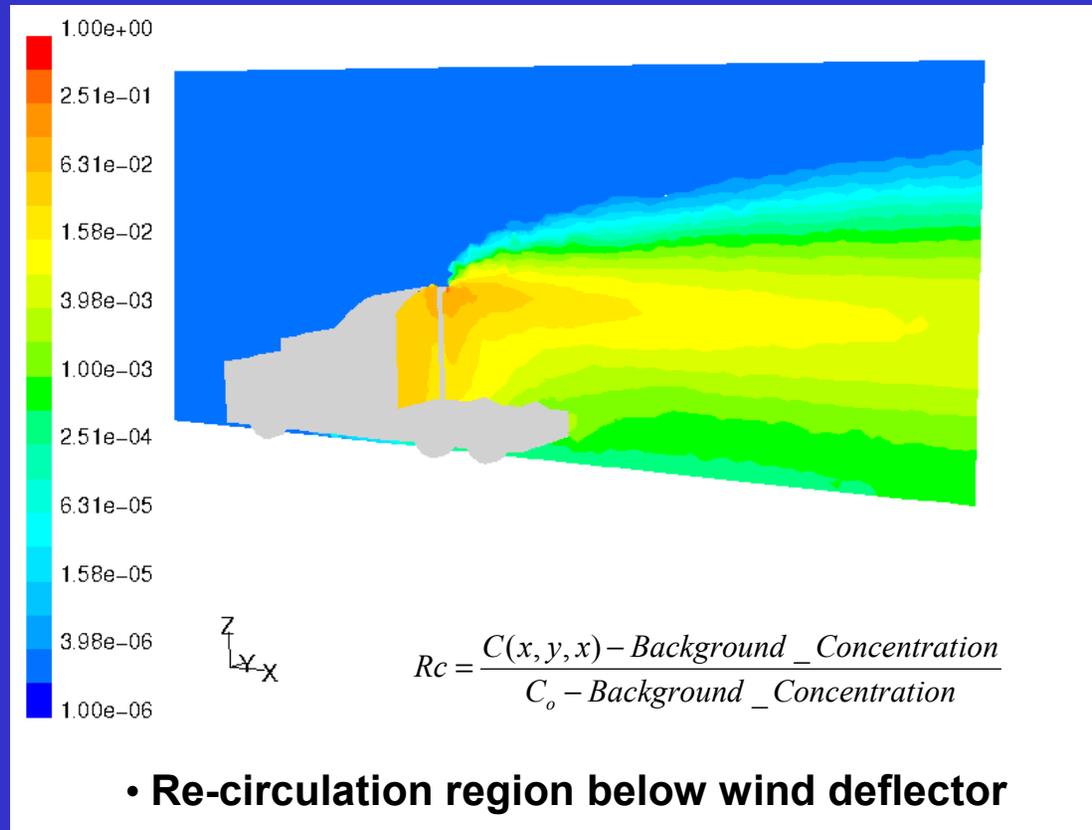


- Class-8 tractor heavy-duty diesel truck (330 hp) in a wind tunnel discretized using approx. 500,000 hexahedral and tetrahedral control volumes (cells).
- Steady state operation at 55 mph
- Exhaust exit velocity 29.8 m/s, Wind velocity 24.6 m/s
- Standard k- ϵ turbulence closure and finite rate chemistry/eddy dissipation
- Background concentration of CO₂ (640 ppm), raw exhaust (60,000ppm)

Computational Grid of the Truck Inside the Wind Tunnel

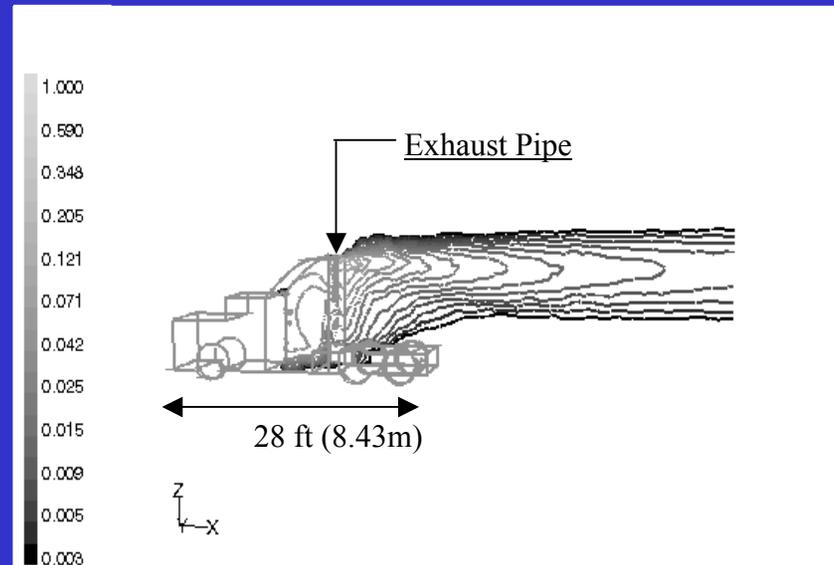
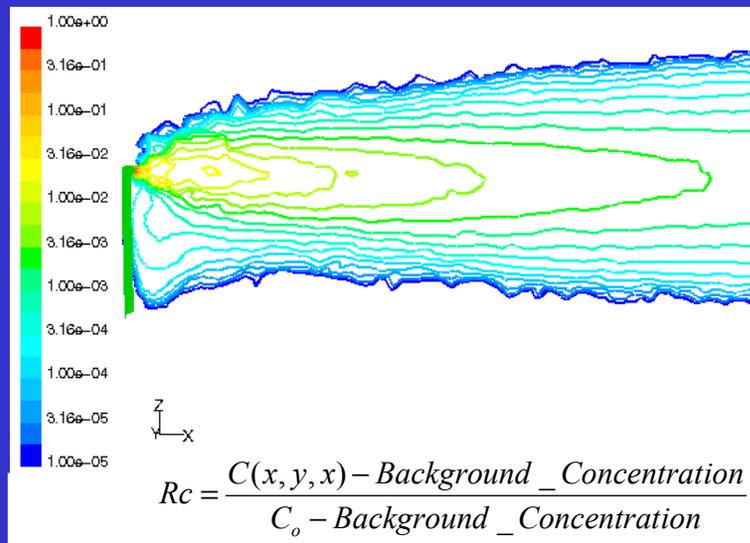


Filled Contours of Relative Concentration of CO₂ Inside the Wind Tunnel



Relative Concentration (R_c) is defined as the ratio of the CO₂ concentration at a given location (x,y,z) to the raw exhaust CO₂ concentration (C_0)

Contours of Relative Concentration of CO₂ Inside the Tunnel on a Plane Passing Through Exhaust Pipe

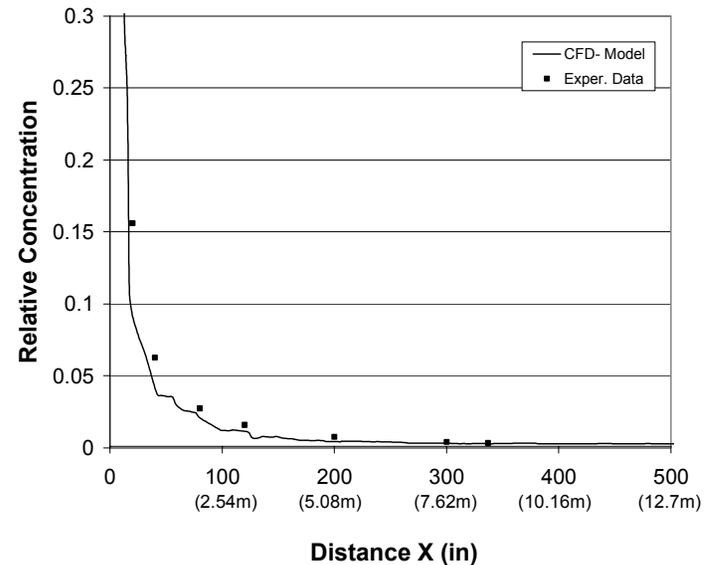


- Center of plume downward - wake effect

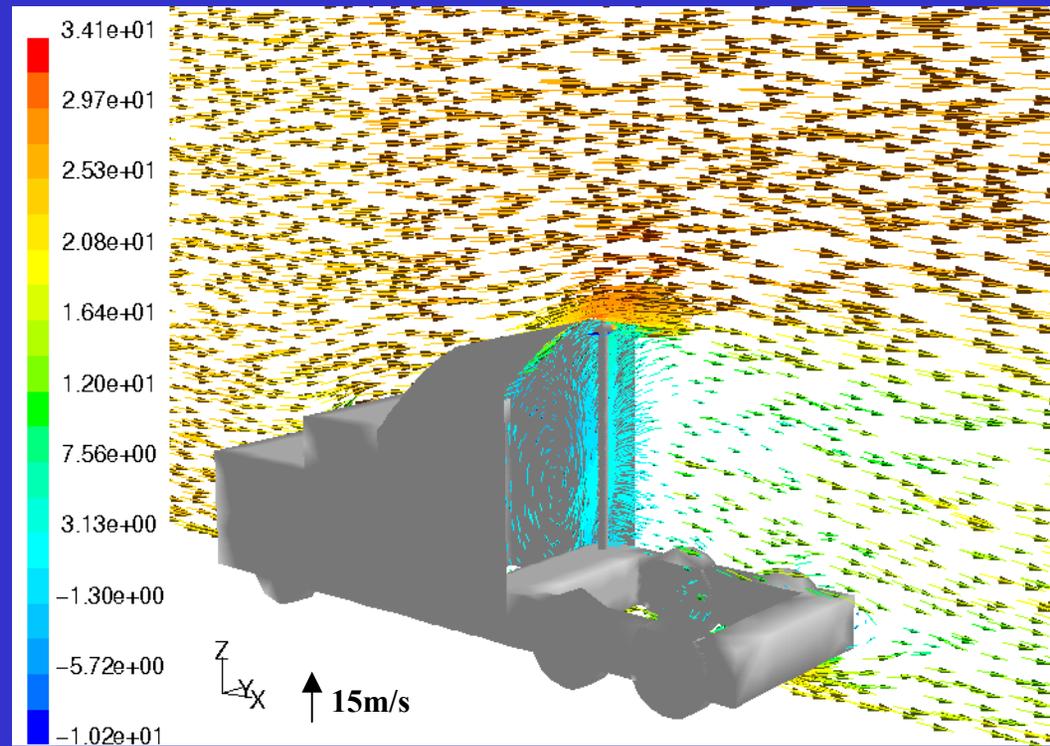
Relative Concentration of CO₂ Along the Centerline of Plume

- R_c of CO₂ dropped rapidly in 100"
-small flow rate of exhaust

$$R_c = \frac{C(x, y, x) - \text{Background Concentration}}{C_o - \text{Background Concentration}}$$

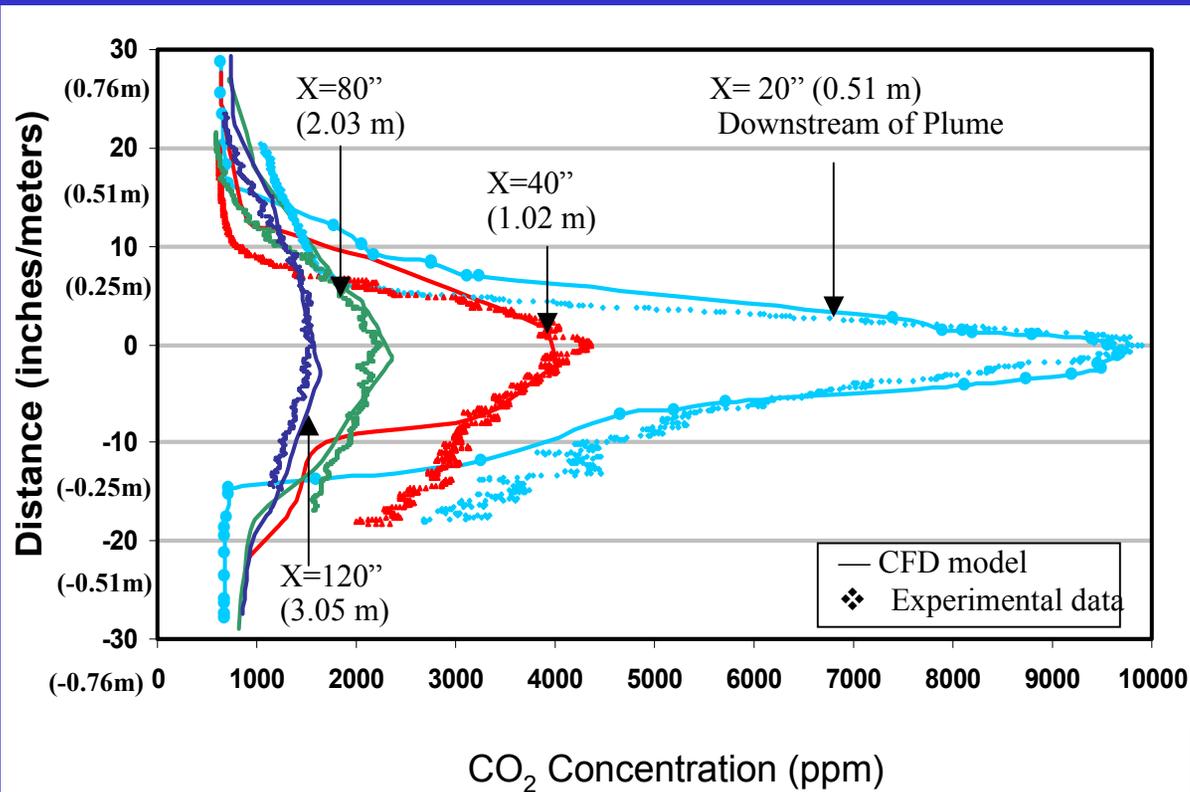


Velocity Vectors Showing Recirculation Near the Exhaust Pipe of the Tunnel



- Significant recirculation of the flow below wind deflector
- Dispersion coefficient not constant

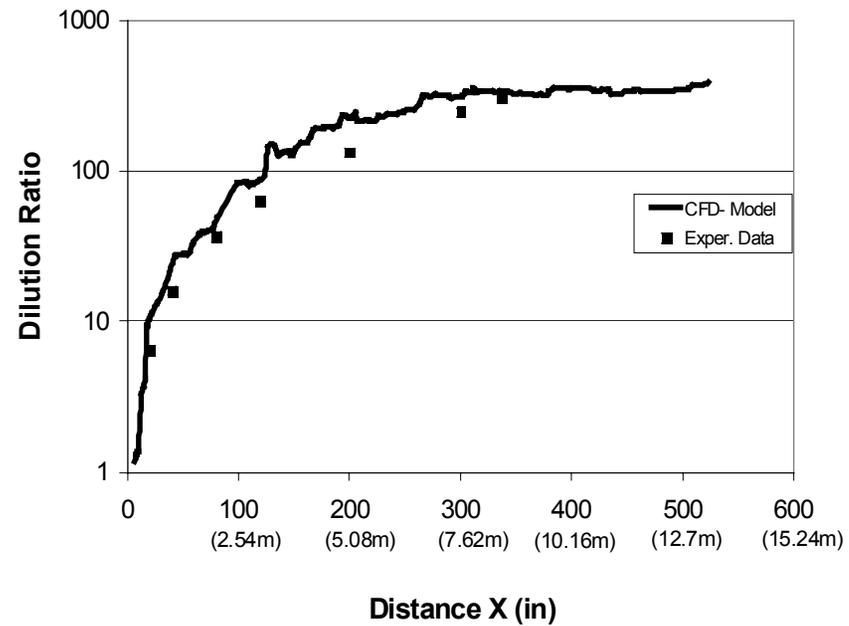
CO₂ Concentration Inside the Plume Perpendicular to the Centerline



- **Asymmetry of plume - presence of wall**
- **Re-circulation region (undercarriage of flow)**
- **Symmetry of the plume- at large distances downstream**

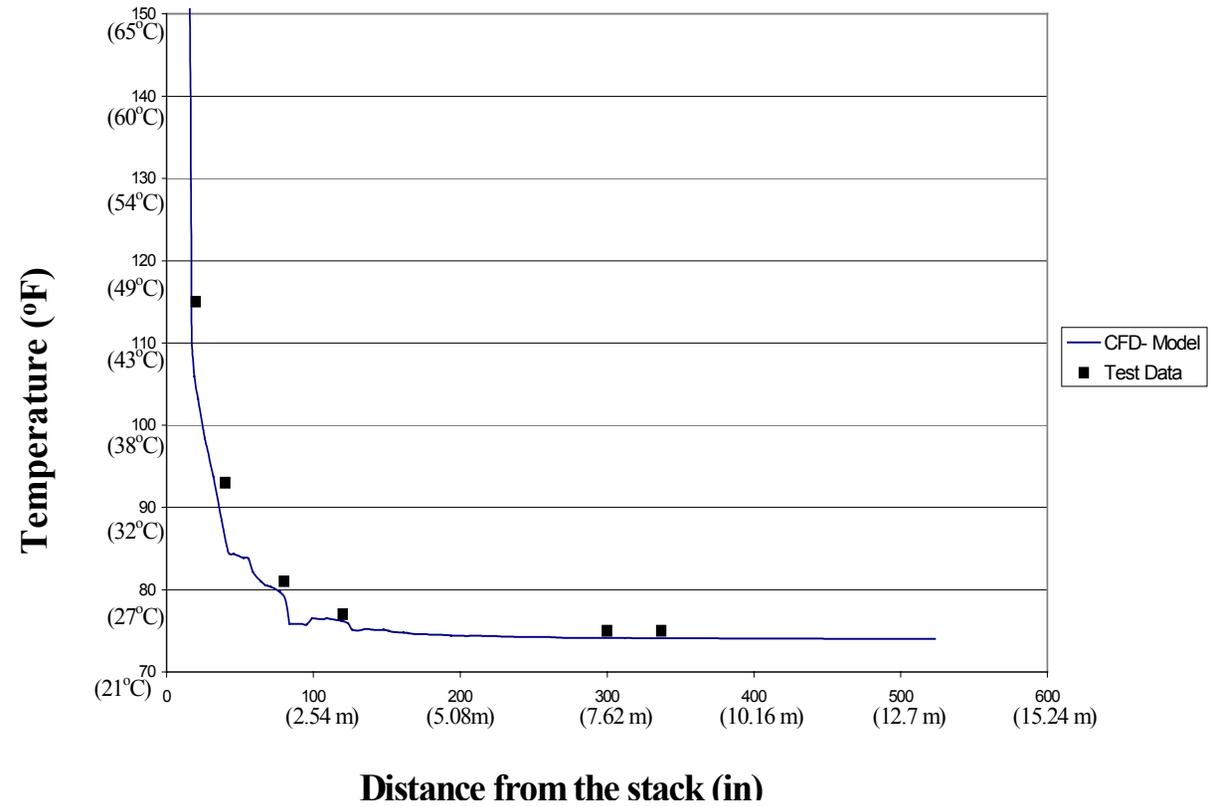
Dilution Ratio of CO₂ along the Centerline of Plume

- Increased rapidly
- higher flow rate of air

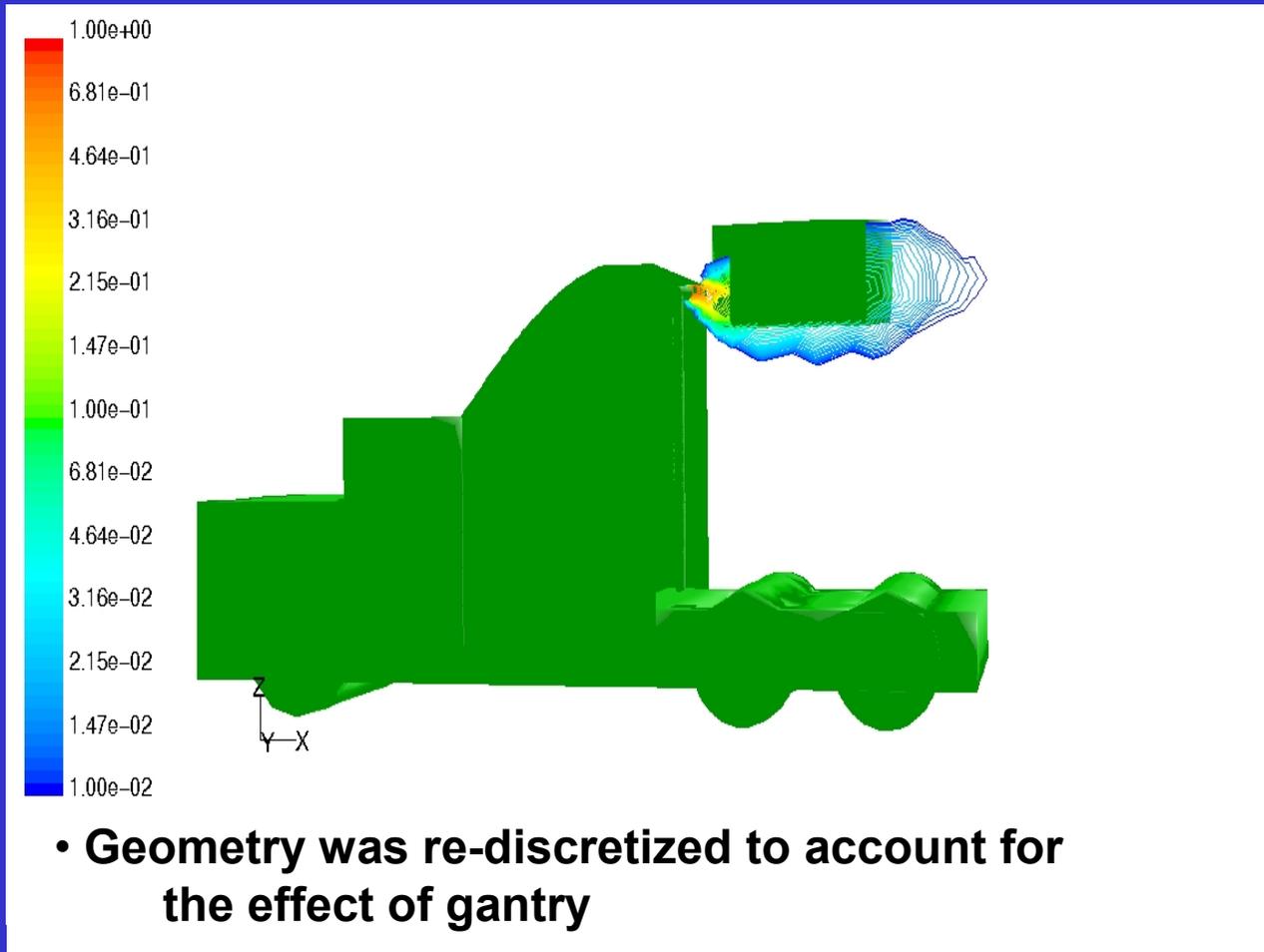


Temperature Along the Centerline of Plume

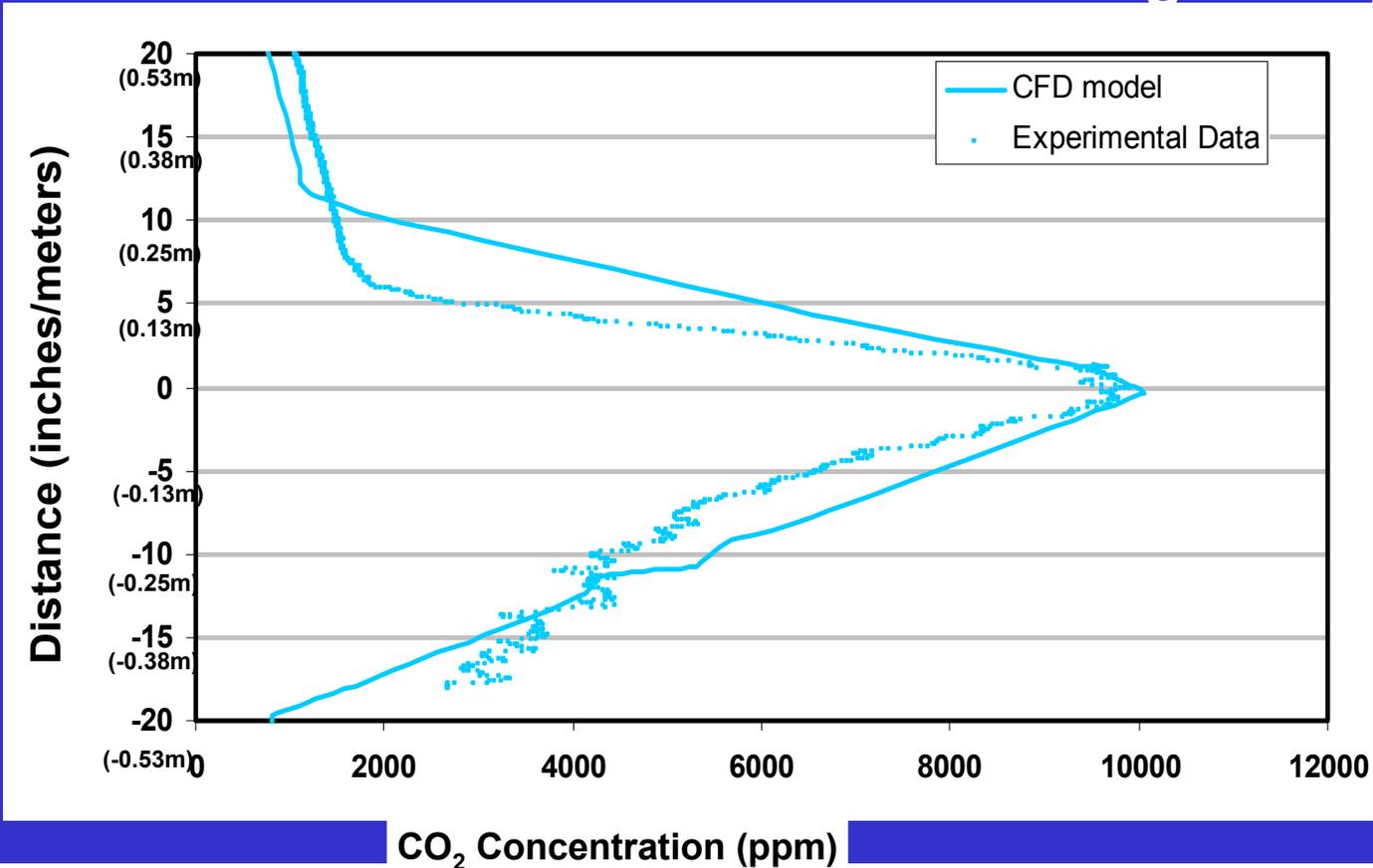
- Rapidly decreased
-dilution increased
- 100 inches (75 °F)



Relative Concentration of CO₂ near the Moving Gantry inside Wind Tunnel

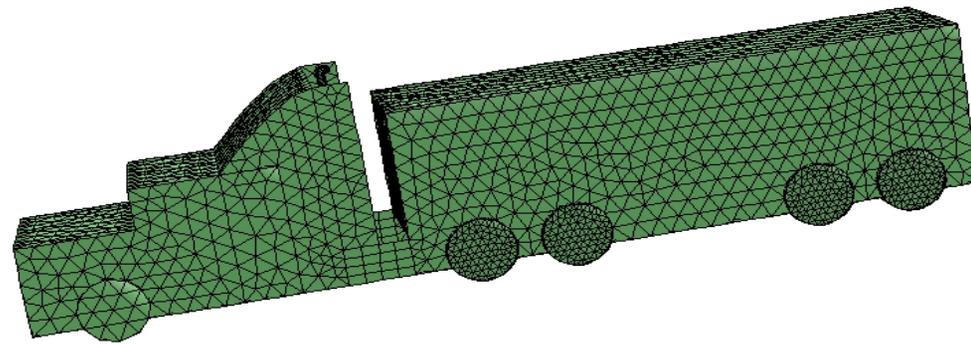


Variation of CO₂ Concentration inside the Plume Perpendicular to the Centerline near Moving Gantry



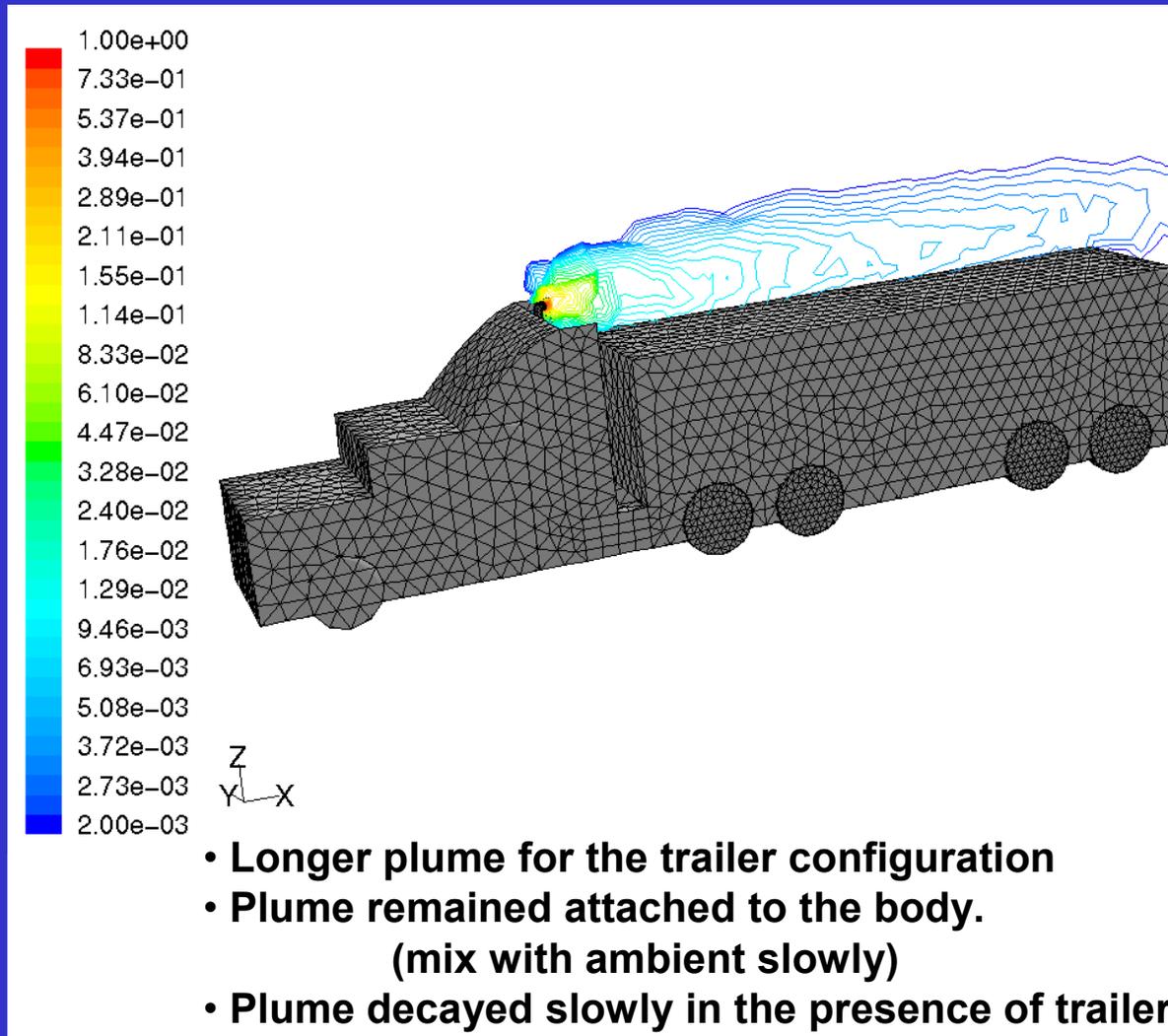
- Better agreement with experimental data than simulation without the gantry at 20 inches downstream from stack
- Will not affect significantly far away due to high dilution ratio

COMPUTATIONAL GRID OF TRACTOR-TRAILER

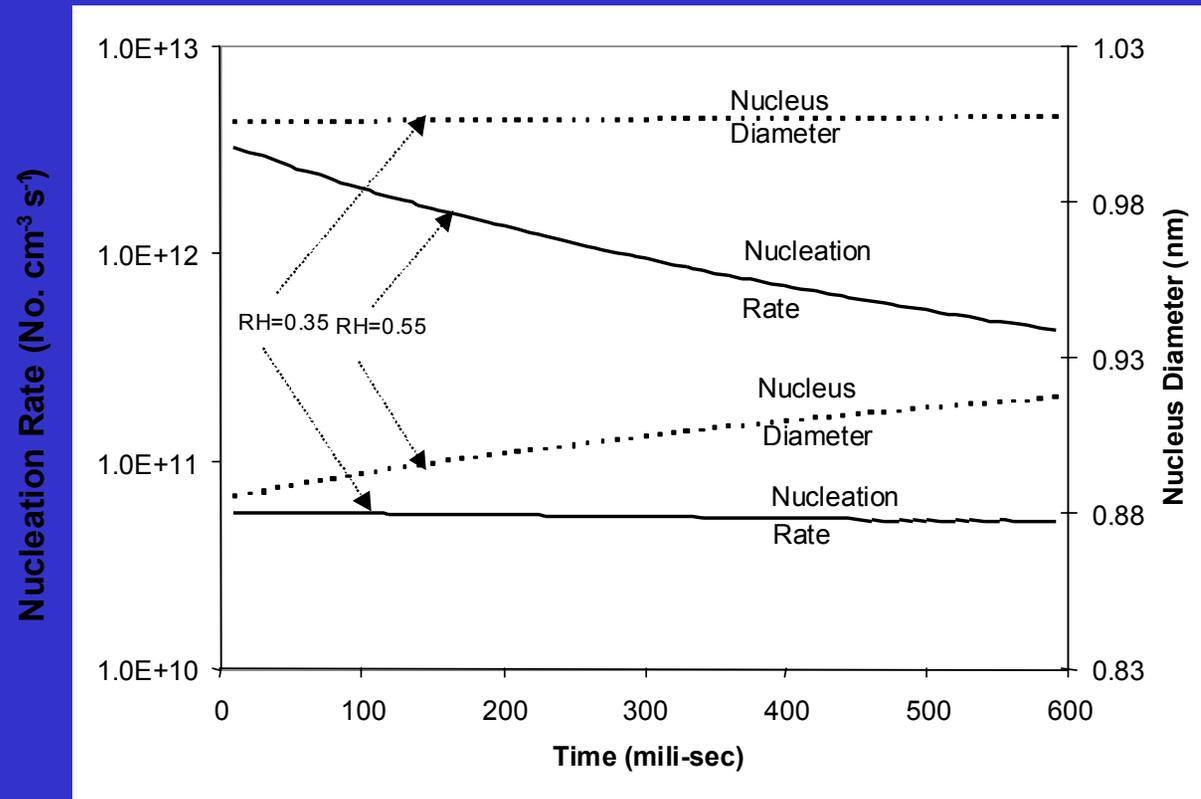


- Traditionally, the trucks are accompanied by the trailers.
- Tractor-trailer recreated using FLUENT software.
- Same velocity and temperature boundary conditions.

Contours of Relative Concentration of CO₂ on Tractor-Trailer

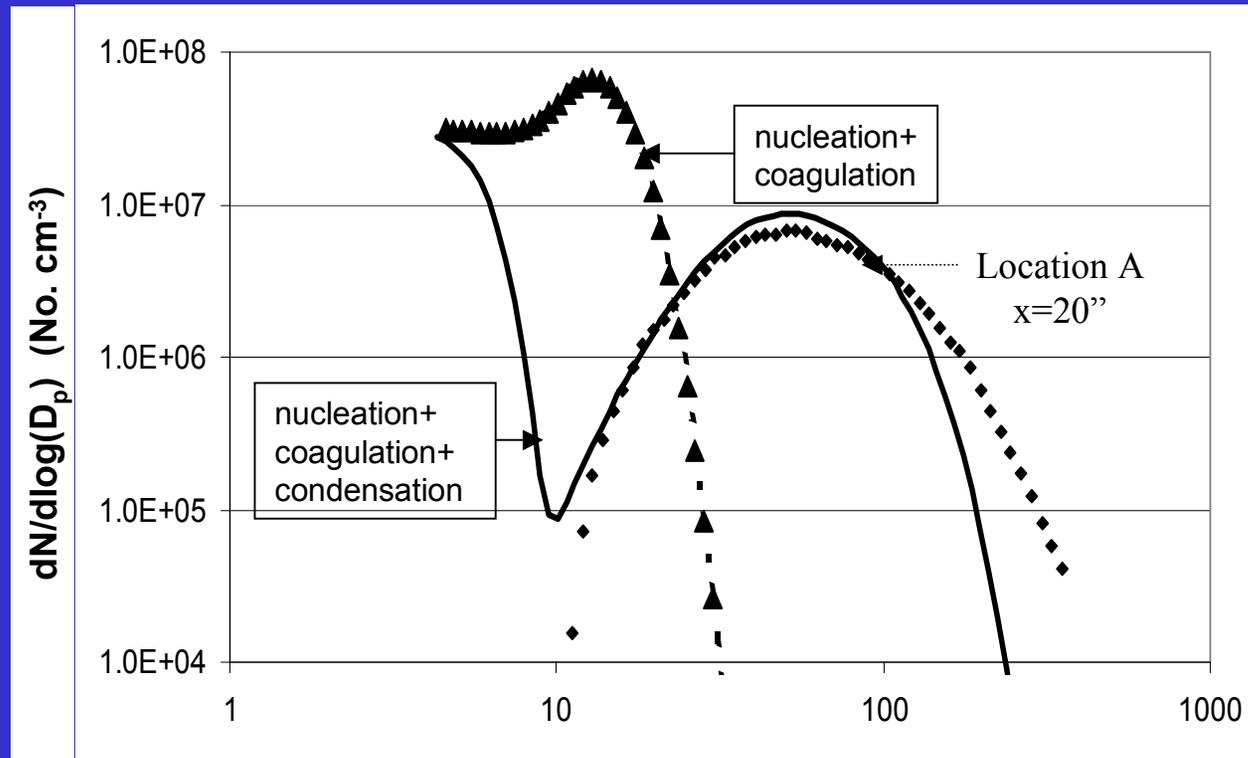


Effect of Relative Humidity on Nucleation and Nucleus Size



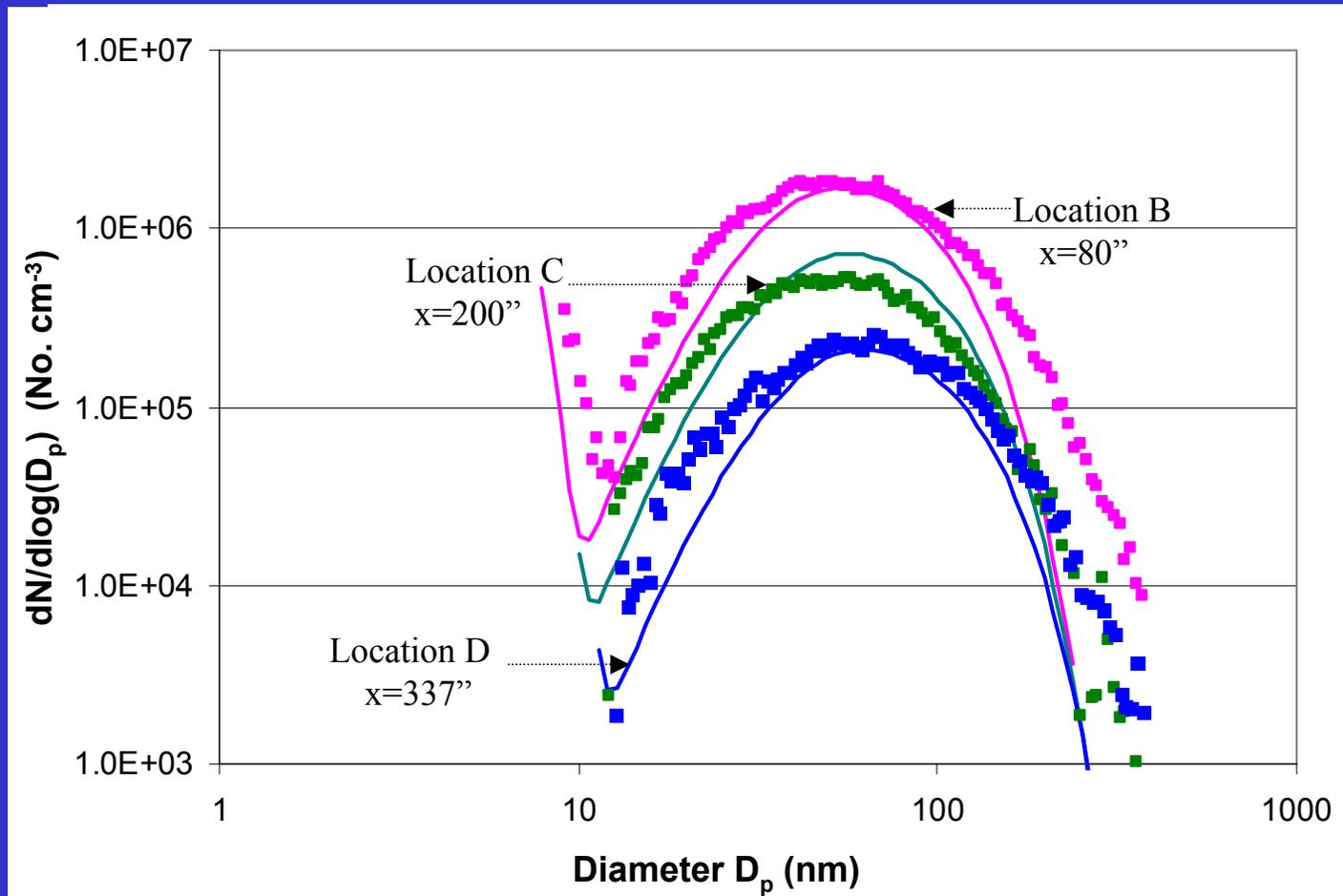
- Nucleus diameter decreased with increasing relative humidity
- Nucleation rate increased with increasing relative humidity
- At lower relative humidity, more molecules required for particle to be stable. (tendency for particles to evaporate)

Particle Concentration Variation With Particle Diameter at a Location 20''



- CMD shifted to the right from about 10 nm to 60 nm with condensation effects.
- Condensation essentially increased the nucleus radius.
- Particles with high diffusion coefficients diffused to large particles.
- Condensation effects are important near the stack (rapid dilution taking place).

Particle Concentration Variation with Diameter at Different Locations



CONCLUSIONS

- **FLUENT $k-\epsilon$ model**
 - Predicted the plume dispersion that included the effects of turbulent mixing, convection, diffusion, and temperature variations, and species transport
 - Agreed well with the concentration of CO₂ experimental data
 - Relative concentration CO₂ dropped rapidly from 1 to 100 within a distance of 100 inches (due to small exhaust flow rate mixed with ambient)
 - Center of the plume pointing downward (due to wake effects)
 - Numerical model showed a significant recirculation
(Dispersion coefficients are not constant in CFD model)
 - CFD models could be used to predict the dispersion of pollutant, and to evaluate the impact of emission of pollutant.

CONCLUSIONS (continued)

- Nucleation rates in the formation of PM were calculated from the fuel sulfur content, F/A Ratio, and exhaust flow rate
- Nucleus diameter decreased by 30% from 10% to 90% relative humidity
- Number rate increased by a factor of 6 from 10% to 90% relative humidity
- Condensation effects were very important near the exhaust stack where rapid dilution is taking place.
- PM count median diameter increased from 10 to 60 nm with condensation effects.
- A good agreement was seen between the model predictions and the experimental data at four different locations.

